Version Control

1.3 13/06/23 -

Please choose your organisation type

Integrated Care Board

Domain	Total Applicable Standards	Fully Compliant	Partially Compliant	Non Compliant	Not Applicable
Governance	6	5	1	0	0
Duty to risk assess	2	2	0	0	0
Duty to maintain plans	8	3	5	0	3
Command and control	2	1	1	0	0
Training and exercising	4	1	3	0	0
Response	5	2	3	0	2
Warning and informing	4	5	1	0	0
Cooperation	6	6	0	0	1
Business continuity	10	1	5	4	1
Hazmat/CBRN	0	0	0	0	19
Total	47	26	19	4	26

Deep Dive	Total Applicable Standards	Fully Compliant	Partially Compliant	Non Compliant	Not Applicable
EPRR Training	#REF!	#REF!	#REF!	#REF!	#REF!
Total	#REF!	#REF!	#REF!	#REF!	#REF!

Interoperable Capabilities for NHS Ambulance Service Providers only

Interoperable Capabilities	Total Applicable Standards	Fully Compliant	Partially Compliant	Non Compliant
HART Capability	#REF!	#REF!	#REF!	#REF!
HART Human Resources	#REF!	#REF!	#REF!	#REF!
HART Administration	#REF!	#REF!	#REF!	#REF!
HART Response time standards	#REF!	#REF!	#REF!	#REF!
HART Logisitics	#REF!	#REF!	#REF!	#REF!
SORT Capability	#REF!	#REF!	#REF!	#REF!
SORT Human Resources	#REF!	#REF!	#REF!	#REF!
SORT Administration	#REF!	#REF!	#REF!	#REF!
SORT Response Times	#REF!	#REF!	#REF!	#REF!
MassCas Capability	#REF!	#REF!	#REF!	#REF!
MassCas Equipment	#REF!	#REF!	#REF!	#REF!
Gen C2	#REF!	#REF!	#REF!	#REF!
Resource C2	#REF!	#REF!	#REF!	#REF!
Decision Making C2	#REF!	#REF!	#REF!	#REF!
Recording Keeping C2	#REF!	#REF!	#REF!	#REF!

Percentage Compliance	49%
Overall Assessment	Non-Complia
Assurance Rating Threshold • Fully Compliant = 100% • Substantially Compliant =99-8 • Partially Compliant = 88-77% • Non-Compliant = 76% or less	
Calculated using the number of Standards.	FULLY COMPLIANT EP

Notes

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- Please do not delete rows or columns from any sheet as this will stop the calculations
- Please ensure you have the correct Organisation Type selected
- The Overall Assessment excludes the Deep Dive questions
- Please do not copy and paste into the Self Assessment Column (Column T)
- The Action Plan copies all 'Partially Compliant' and 'Non Compliant' standards

iant

PRR Core

C2 Learning Lessons	#REF!	#REF!	#REF!	#REF!
Competence C2	#REF!	#REF!	#REF!	#REF!
JESIP	#REF!	#REF!	#REF!	#REF!
Total	#REF!	#REF!	#REF!	#REF!

										Self assessment RAG					
Ref Domain	Standard name	Standard Dytail	Acute Special Providers Provide	NHS Ambulance Providera	nunit Transper Iders t Services NHS1	Mental NHS NHS Hailth England England Providers Region National	d Integrated Commissio Primary Care Care Care C Care Board Dupport CP, Unit Care Moard Dupport	ne dan Berlandar Series Terretar Terretar	Organizations Externs	Red (non compliant) a feet compliant programm standard. The organisation suck programm shows compliant with order and other the shows compliant of a standard standard standard sear 12 months of the standard standard standard. Newsers, the organization is work programme dominant pais and filliant existence of		Commenta NHSI	enang Will Paralam	ICB Final Rating	KB Commun
										compliance within the next 12 months. Green (fully compliant) a Fully compliant with core					
1 Governance	Senior Leadership	The organisation has appointed an Accountable Emergency Office (AEO) responsible for Emergency Preparadness Realismca and Resportes (EPRR). This individual holds be a board level directo within their individual organisation, and have the appropriate author resources and budget to direct the EPRR portfolio.	er r ity, y y	Y Y	r y y	Y Y Y Y	¥ ¥	Extensiona - Name and mixe of appointed individual - AEO responsibilities included in noisigibb description - Y	The Accountable Emergency Officer is the Executive Chief of Strategy and Operations	Fully Compilent		Pa	The detail subjective to the first time to be experient an ACX transmission for PRR 7.16 indefault advacuum to the first time to be experiented and the expected of the PRR 7.16 indefault advacuum to be provided and the expectation of an ACX to data set alight to an control pR 7.176 PR hely which called the expectation of an ACX to data set alight to an advacuum to the provided any one data set and the expectation of an ACX to data set alight to an advacuum to the provided any one data set and the expectation of an ACX to data set alight to an advacuum to the provided any one data set and the ACX to data set alight to an advacuum to the advacuum to adv	fully compliant	Future job description to be updated and include AEO responsibilities
		The organisation has an overarching EPRR policy or statement of intent. This should take into account the organisation's:						The policy should: • Have a review schedule and version control • Use unembiguous terminology • Identify those responsible for ensuring policies and anangements are updated, distributed and	_						
2 Governance	EPRR Policy Statement	The should like into account the organisation's • Basinesis objectives and processes • Key suppliers and contractual anangements • Risk assessment(s) • Functions and / or organisation, structural and staff changes.	Y Y	¥ 1	r y y	Y Y Y Y	¥ ¥ ¥	 Include references to other sources of information and supporting documentation. 	The ICIS EPRR Philes such as the organisations reports and taken of individual and the increase the sealance of the ICIs reports to bainstain sciential, critical and principates. The document is available with Supphodent Management subail which as accessible at identifying the such commenders and by relativistical or provide system science of the ICIs in activity and the subard commenders and by relativistical or provide system science of the multi- science of the science of the			Pa	Manufa seguence for The Tab these as exercising (PMF) apply a seturement of them in the tab	fully compliant	EPRR resource has been considered as part of ICB version 2.0. The ICB will proportionally provide funding to ensure there is adequate resource available for EPRR. Funding is available as required and can be authorised by management
								Access to funds Commitment to Emergency Planning, Buainess Continuity, Training, Exercising etc.							
		The Chief Executive Officer ensures that the Accountable Emergency Officer discharges their responsibilities to provide EPRR reports to the Board, on keiss their amrually. The organization publicly states its readness and preparedness						These reports should be taken to a public board, and as a minimum, include an overview on: • taining and exercises undersiden by the organisation • summary of any basiess controlly, official includents and major incidents experienced by the organisation • leasons identified and learning undertaken from incidents and exercises	The ICB Emergency Paparadness Resilience & Response (EPRR) Steering Coup oversees the implementation of EPRR with reports for key areas taken to the Executive Committee when appropriate.				National registement for organizations to ensure the AEO discharges thair negorishilless to provide EMR regions to the Boost, release thai an analyzing processing approximation provided in the torm of a Boost and an an annual release in another an annual registerious approximation approximatio		
3 Governance	EPRR board reports	activities in annual reports within the organisation's own regulatory reporting requirements	Y Y	Y Y	r y y	Y Y Y Y	Y Y	 - Issons identified and learning understates from incidents and exercises - Be organization's compliance position in relation to the latest NHS England EPRR assurance process. Y <u>Problems</u> • Publics 	The Accountable Emergency Officer is a standing member of the EPRRSG which reviews EPRR provision on a bi-monthy basis The Executive Committee is regularly optimized about EPRR, surger management and rails either real or potential to the organization.	Fully Compliant		Pa Cor	A set of a la set memory include or set ensurementation of a set o	fully compliant	ICB Board report to be enhanced to meet requirements
								 Evidence of presenting the results of the annual EPRR assurance process to the Public Board For those organisations that do not have a public board, a public statement of readiness and preparedness activities. 	The annual EMRR core standards will assessment submission is presented to EMMRSD and Executive Committee for approxit The EMRR Core standards will be published via the ICB Public Board in 2023				extenses having been spoked to Baarlo In ha last 12 month - en conversion of pairing & executing delivers by they have an executing a summary of an impact, business or critical incidence declared, lessons identified from any incidents or exercises.		
		The organisation has an annual EPRR work programme, informed by: - current guidance and good practice - leasens identified from incidents and exercises - leasens identified from incidents and exercises						Evidence • Reporting process explicitly described within the EPRR policy statement • Annual work plan					Resonancedulor - We would de laise fai programme taise la tractacie et la terre de com tandada and te avaite outline auxiliar 4 a de la segon a eaux comptes	ains in	
4 Governance	EPRR work programme	 identified risks outcomes of any assurance and audit processes The work programme should be regularly reported upon and share with partners where appropriate. 	ed y y	Y Y	r y y	Y Y Y Y	Y Y Y	¥	As part of the EPRR Stearing Group, a cycle of business has been produced with aligns with the EPRR core standards and reviewed on an annual basis. The ICS also hosts collaborative workshops with NEVC providers to review and share best practice/learning opportunities on a	Fully Compliant		e.	National requirement for organizations to adding the work programms being detects by placena, known identification of any assumed on the placena strategies and the placena strategies	plan ners Partially Compliant	Work programme format will be adgrad with the EPRR standard domains, however we do have a work programme directly linked to any identified gaps in EPRR standards. By nature realitience is an evolving
									quarterly basis. It is to be noted that some workshops for 2023 have been postponed due to industrial action. The ICB also participate regularly in multi-agency forums to identify good practice				events the standard does not interest to develope the fact and provider. In the standard programme to address the standard to the standard	ld ipotta ons	process. Work programme to be enhanced for ICB (See North Tees work programme for best practice)
		The Board / Governing Body is satisfied that the organisation has						Evidence	_					_	
5 Governance	EPRR Resource	The basic of contract good is an annual that the organization of the afficient and appropriate resource to ensure it can fully discharge its EPRR duties.	Y Y	Y Y	r y y	Y Y Y Y	Y Y Y	EPRR Poly detrifies resources required to full EPRR function; policy has been signed off by the organisation's Board Y Assessment of role / resources Role description of EPRR Staff shift who undertake the EPRR responsibilities Organisation structure chart	An EPRR team structure is in places to provide strategic direction, oversight, coordination and subject matter expertise to support local systems (Ana/Pace) in order to ensure whole system integration, collidarative working, operational delivery and stallenes. This same is made up of subject are experienced attriff and specific experiences in EPRP. The same, not only provide strategic direction and devolution per subjects. Tables are experiences in System in the with the CCS and an accounting address direction and ensures the subject. Tables are experiences and and an accounting address and	t Fully Compliant		Pi	How may also be the provided that the programment of the programment of the programment of the theory of the provided that the provid	fully compliant	Board to review and report on their satisfaction that there is appropriate and sufficient EPRR resource. Board report we confirm sufficient resource to discharge dates and under current eview as part of ICB 2.0.
		The organisation has clearly defined processes for capturing learning from incidents and exercises to inform the review and embed into EPRR arrangements.						Islamal Creaman annous shart inclusion FPRR norus <u>Evidence</u> Process explicitly described within the EPRR policy statement • Reporting those leasons to the Board governing body and where the improvements to plans we	There is a well established process for reviewing the management and outcomes of any incident. A debrief and report will be						
	Continuous						Y Y Y	made • participation within a regional process for sharing lessons with partner organisations	The ICB EPHR policy is refreshed an on annual basis or after any business controlly, ortical or major incident and/or training/exercising to reflect any learning and octoornes with key polices and procedures adapted to ensure seallest response. The does that key learning process is also detailed in the orical formeand.				Notice that the standard sequences for the gradient terms and advances for the companying terms for the companying terms for the standard sequences of the standard sequences and the s		As per incident response plan contribuous incrovement is integral to current workstvarte. Organisational
6 Governance	improvement		Y Y	Y Y	r y y	Y Y Y Y	Y Y Y	Ŷ	Furthermore, the NENC ICB participans in region wide escritists in collaboration with LRF partners and share learning to be embedded into processes An ICB exercise is scheduled to take place on 10th December 2023, this has been delayed due to consert industrial action impact			Co	Statistics a methode in the 2MM policy and Cho 2M hole; yield will call as see available of defaults, the upper classification of defaults having taking policy and choice and policy and choice and policy an	Fully Compliant	As per incident response plan continuous improvement is integral to current worksmanns. Organisational learning protocol and action plan to be produced which is based on the IEM process
		The organisation has a process in place to regularly assess the ris	la la					Evidence that EPRR risks are regularly considered and recorded	The Strategic Head of EPRR attents all 4 x LRF training and exercising groups to identify any health impacts for the population/organisation to enhance plans.						
		The organization has a process in place to regularly assess the ris to the population it serves. This process should consider all relevan risk registers including community and national risk registers.	rt					 Evidence that EPRR risks are represented and recorded on the organisations corporate risk register Risk assessments to consider community risk registers and as a core component, include reasonable worst-case scenarios and extreme events for adverse weather 					Manufacture to the first approaches to the second s		
7 Duty to risk assess	Risk assessment		Y Y	Y N	r y y	Y Y Y Y	Y Y Y		The ICB is represented at LRF Rok Groups to wrive and consider community risk registers and identify either real or potential risks against the organisation. The ICB also attends other regional groups such as the LHP whenky regional and national risk registers are considered and mitigating actions identified.	Fully Compliant		Pi Co	Harry Provided if an organization how - and brave an or decoustance of raik within the UMP immutate provided in an organization how - and brave an or decoustance of raik within the UMP immutate provided in the an evolution cell and kingsater provided interfacionas how maintain kingsaterial of the DMP finals are apportant to a logit state of the Amplitude and the provided area evolutions of the Amplitude and the Amplitud	fully compliant	ICB to ensure that discussions hald at EPRRSG are enhanced and the agenda is adapted to compliment core standards and Oxid Contingencies Act 2004.
									_				en her sompreter sich sigster, eine sammer siesen an her BPRE sigster her bester fra gewennen oppressen op		
8 Duty to risk assess	Risk Management	The organisation has a robust method of reporting, recording, monitoring, communicating, and esculating EPRR risks internally a externally	nd y y	Y Y	r y y	Y Y Y Y	Y Y Y	Evidence EPRR take are considered in the organisation's risk management policy Reference to EPRR risk management in the organisation's EPRR policy document	NENC ICB has appropriate risk management processes in place to identify risks and undertake mitigating action where appropriat this is collead into an EPRR risk regioner and coprovate risk regioner which are reviewed on a regular basis and monitored by the EPRR takening porp. The Executive Chief of Strateour and Oberations provides strategic oversibilit for risk management within the ICB.	n. Fully Compliant		P.	Please see comments for cross standard 7 - tens in moderaid the risks here glocosate at your of LHPM manuals, here there intries undersymptisment in the organisation site. The organisation site match LHPM excellence and there instring endersite and monitored and the three instring.	fully compliant	ICB to ensure that discussions are enhanced and focus given to risk registers within EPRRSC and LHRP.
		Plans and arrangements have been developed in collaboration wit relevant stakeholders stakeholders including emergency services and health pamers to enhance joint working amangements and to ensure the whole patient pathway is considered.	h					Patner organisations collaborated with as part of the planning process are in planning antengemen <u>Evidence</u> • Consultation process in place for plans and antengements • Charges to samplements as a result of consultation are recorded	The View Compare EPRR Team provides intelligic direction, oversight, coordination and subject matter expensites to support two systems place/lives/intelligit order to ensure what system relegation need collaborative sorting. This same advector spotter policies and place/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/lives/li	•					
								Changes to amangements as a result of consultation are recorded	A key function of the EPHR Team is that it works closely across the enviring EPHR tendscape to: -ensure the delayery of effective EPHR is maintained; -entrop, manage and ministra key actionations and tradeschar; -ensure that the ICB EPHR min is understanding of prisadeschar; -ensure that the ICB EPHR min is understanding of prisadeschar; -ensure that the ICB EPHR min is understanding of prisadeschar; -ensure that the ICB EPHR ministration of the ICB EPHR manager of instances -ensure that the ICB EPHR ministration of the ICB EPHR manager of instances -ensure that the ICB EPHR ministration of the ICB EPHR manager of instances -ensure that the ICB EPHR ministration of the ICB EPHR manager of instances -ensure that the ICB EPHR ministration of the ICB EPHR manager of instances -ensure that the ICB EPHR ministration of the ICB EPHR manager of instances -ensure that the ICB EPHR ministration of the ICB EPHR manager of instances -ensure that the ICB EPHR ministration of the ICB EPHR manager of instances -ensure that the ICB EPHR ministration of the ICB EPHR manager of instances -ensure that the ICB EPHR ministration of the ICB EPHR manager of instances -ensure that the ICB EPHR ministration of the ICB EPHR manager of instances -ensure the ICB EPHR ministration of the ICB EPHR manager of instances -ensure that the ICB EPHR ministration of the ICB EPHR manager of instances -ensure that the ICB EPHR ministration of the ICB EPHR manager of instances -ensure the ICB EPHR ministration of the ICB EPHR manager of instances -ensure the ICB EPHR ministration of the ICB EPHR manager of instances -ensure the ICB EPHR ministration of the				Nacod registered to implement to the plane and paragramments to them have developed with short or any strength of the plane and		
9 Duty to maintain plans	a Collaborative planning		Y Y	Y Y	Y Y	Y Y Y Y	Y Y Y	¥	Workshops have taking place with stakeholders to ensure partners fully understand the roles and responsibilities of the NENC ICS	s		en co	 plans and amagements having been developed with pathens, or a consultation process in plans for plans and subsequent charges as a next of consultanto heing recorded is not cultined in the 	Fully Compliant	ICB are actively participating in peer review and support working with our providers, LRF's and regional ICBs. For future plens, policies and procedures, the ICB will ensure the documents are peer reviewed. This process will also be included in the EPRR policy moving forward.
									The LIMP a fee from in which parter organisations with each collaborate with the LICE to ensure appropring plays are typics of any incidentive-studie). These plans will contrain to be reviewed ensulty as well as post any incident following any approach as the two bases and completeness process deployed during all procised reviewer the studiest actions to incide all external stateholders, including amergency survives and have practices. The structure incident equipation debut advantation and the stateholders may be advantation of the structure incident equipation and any other stateholders, including amergency survives and have partners. The structure incident equipation and stateholders may be advantation of the structure incident equipation of the process.				EMR Puicy. We carrent see exidence of consultation with wider assistations in developing plane or policies (e.g. exidence of the Major Incident plane being consulted on with stateholders).		
		In line with current guidance and legislation, the organisation has effective arrangements in place to clating and executed to Critical						Amangements should be: • cramer (reviewed in the last 12 months)	announces, record principles y an ease of a finite particular to a data of account region analysis in a quant interrupt on all stateholders frequency for the process.						
		effective arrangements in place to define and negood to Critical and Major incidents as defined within the EPRR Framework.						Anargements should be: - curate (breaked in the last 12 months) - in line with curated individig gladence - in line with classestament - tasked singularity - argined of by the sporpolatic mechanism					National equipment is that the cognization has affected as emogenees in place to Aufile and reaports to circular of major incidence and where the PMP interval. The Interval reviewed in 2022 and was adequarity issued in Neurenter 2022 plants to a statistication in January 2023. White Interval emotion the selection to compare with the strategit there as a		
								 Instact regularly signed of type suppopulate mechanism shared appropriately with these regulard to use them outile may updatent regularements outile may updatent regularements 					2021. What has doneses leaply carries the kinetise to carry with the standard free as an annual way with a standard free as a standard with a standard free as a standard with a standard		
10 Duty to maintain plans	s Incident Response		Y Y	Y Y	r y y	Y Y Y Y	Y Y	Y	An incident response plan for the NEXC ICB is in place and details the key principles of nepporting to an incident or emergency. The IRP incides action careful detailing the processes to follow for specific incident is, outbrank, adverse weather etc. It also clearly identify have amengements, negling actions and separate that will be implemented by the ICB. This is an experiment inciding constraint separates multiple LiPP depress.	Fully Compliant		Pa	A set of these least heads and the set of th	Partially Compliant	ICB plan is currently being reviewed, this will be exercised in December 2023. The process is evolving and needs updating however arrangements remain in place to provide robust incident response.
											The	e NENC ICB ident response plan	structures and where the RE Engined as a where spatemic coordinator for Loved 3 and 4 incidents. What NREE could take the where constrained is coordination for this case was worked expect the organisation to how amergements in place to respond and coordinate their system signification and the same projection work of good 1 and 2 where Kink that the plan incidents frame is a set of the same projection work of good 1 and 2 where Kink that the plan incidents frame that a		
											is to follo impli ICB. mod	ident response plan to be reviewed lowing the plamentation of the 5.2.0 operating odel to ensure this	The comparison is the comparison in varies to support of a constraints the system comparison of the set of the		
		In line with current guidance and legislation, the organisation has effective arrangements in place for adverse weather events.						Amingements should be: • current • in line with current rational UK Health Security Agency (JKHSA) & NHS guidance and Met Office or Environment America cluster	-			naine f8 for response	National requirement for the organization to have effective animogeneous in place to asspont to advenae waither - including alignment with joint UKIGAS. IN MEE guidance, and Met Evidence to be provided of animogeneous		
Duty to maintain plans	s Adverse Weather		Y Y	Y 1	г <u>ч</u> ч	Y Y Y Y	Y Y Y	or Environment Agency starts • In line with hisk assessment • tested regularly • signed of By the appropriate mechanism • shared appropriate you'll those required to use them	An incident suppose plan for the NENC ICB is in place and details the key principles of responding to an incident or amergency. The HP includes action curbs detailing the processes to follow for specific incident i.e. contravials, advense waither etc. It take clearly identify the amergenence, mitigating actions and any point with the implemented should the BCP even be incided or implemented by the ICB.	Fully Compliant	The Incid	e NENC ICB ident Response in has been recently reved to align to the ISA adverse after online align	edinase seature - ructuring appropries ethi para UNDREA. M 4026 paizves, and Mar photo-Schormover and para data. The seature and the basic sequence of the photo- sector and the seature and the seature and the basic sequence of the photo- sector and the seature and the seature and the basic sequence of the photo- and sector and photo- the seat and gala assumes. No solations to take sector photo-and and associated and associated and an existence and photo- the seat and gala addressions being and and photo- and sector and photo- the seat and gala addressions being and and photo- and sector and photo- the seat and gala addressions being and and photo- and photo- and photo- the seat and gala addressions being and and photo- and address and photo- the seat and gala addressions and and photo- and photo- addressions and photo- addressions addressions and photo- photo- addressions addressions and photo- addressions addressions addressi	partially compliant	ICB have an adverse weather action card as part of the incident exponse plan. However this requires updating inline with recent changes. ICB to develop an adverse weather plan to complement incident response plan.
								γ • appendix on p is adapted. The subsequence is to use them • other ways safe to the pairs exactly • cognisate of externe events e.g. drought, storms (including dust storms), wildline. • other ways of the pairs exactly • other ways of the pairs exactly	impertanted by the LLG.		LUCH weat and ithrough	Newer to augh to the HSA adverse ather and heat plan d is currently going ough the rabilication	actions being required a part of the USORS pain tasked in 2022. The action card in the 10 th which has been added as devicers with oriest and humble Single party has a nois in ensuity the attemportant surgestand to make the providers have glain in place and will had providen to account for heimy thesis in place—this is a with that the CB uncleansa are part of the roots sturded as assures.		
		In line with current guidance and legislation, the organisation has aningementa in place to respond to an infectious disease othores within the organisation or the community its serves, covering a range of diseases including High Consequence Infectious Diseases.	*					Amangements should be: • current • in line with current nutional guidance • in line with risk assessment					National requirement for regretations to loss ansegnments in plane to respond to an infectional desais contraw, visious segnments plane contract and rECD. The reduces provided indicates frether 126 does not have an originate plane contract built within the second plane plane built to second the infectional disease which the responder built infectional disease which are second to interplane built and the second plane plane built and any streth second plane plane built and the responder built infectional disease which are second to interplane built and the responder built infectional disease which are second to interplane and the responder built infectional disease which are second to interplane built and the responder built infectional disease which are second to interplane built and the responder built infectional disease which are second to interplane built and the responder built and the		ICB is a member of North East Health Protection Network.
12 Duty to maintain plans			Y Y	Y Y	r y y	Y Y Y Y	Y Y Y	 nihu ahb cunief antoni gualance nihu ahb cunief antoni gualance nihu ahb cunief antoni gualance suprat of Dyba apopulak mechanism subara aya cupinati ahb basa menjado buat basa outina any ecipinati cupinameda outina any alaf basing equipad 	Outrust management pathways are in place across the North Exat and North Combris to excurs that them is a tatevantined process in place in collaboration with UHORA. Using a nember of the LHOP, while LCB staff are a core part of UBORA incide planning and response antargements.	et Fully Compliant		Pa	Point P	Fully Compliant	ICB has sales a paper through Ease Committee to odine the outbreak reports. ICB have successfully managed to second which consist timelines on outbreaks: Balance if it is the outbreak of th
								Anote nonsiders should ansure their amonements reflect the ministerie issued by DHSC in telefor	The ICB is also a member of the health protection network is				commissionaditysem intergenerens in place to provide point experiments and articular distributions - rescaling the pointerfair experiments or underlaw analysis - that should be all the analysis and and the should be all the shou		Montey pox Sosities Avian influenza TB
		In line with current guidance and legislation and reflecting recent leasons identified, the organisation has amangementa in place to respond to a new and emerging pendemic						 b FP20 Realines in Accas sating incorporating the FP20 realines principles. https://www.apiprint.nt.uk/contraly-case/intection-controll/pullip3-feasing/lip3- realinese-stroble-in-acids-settima/ Amongenese should be: in line with current realing padences in line with current realing padences 	The ICS Incident Response Plan details the process to be followed to respond to any incident, be part of any incident manageme	ee			Evidence to be provided of processments to trapped to rear and exempting partnerss, which counts the unerset		ICB is a member of North East Health Protection Network. ICB has taken a paper through Ewaic Committee to outline the outbreak reportes. ICB have successfully managed to respond within contact time/lines on outbreaks:
13 Duty to maintain plans	s New and emerging pandemics		Y Y	Y Y	Y Y	Y Y Y Y	Y Y Y	* saided regularly Y = signed off by the appropriate mechanism * shared appropriate mechanism * outline any equipment insplanments * outline any equipment insplanments	Isiam and provide isoport, resource and staff lynkers required to support the roll dust of any constrained answ demonstrated in neutri incidents, dealing with outbrasks of Monkeyport, scabies, sheepA, avian influenza etc. Pathways have been agreed for outbrasks with samagements in place with local health care provides to provide health care support as at of them necessary.	Fully Compliant		Cor	New many partners, "which which mover lasses defined. We advecte takes are provided by the strategy partners," which which mover lasses defined to the strategy advected by the strategy advected	Partially Compliant	managad to respont within contract timatinas on outbreaks: Beaconnil to Diptretinia Monitary por Sosteria Avain riflamenza
		In line with current guidance and legislation, the organisation has arrangements in place to support an incident requiring countermeasures or a mass countermeasure deployment.							-						Avian ethuenza TB
		countermeasure deployment						Anargenetist shad bi: - convert - his with count finding splates - lo low with count finding splates - low with count sets - splated of type appropriate motivation - submit appropriate splatements - submit appropriate splatements - submit appropriate splatements - submit appropriate splatements - submit appropriate splatements	The ICB has staff members who are represented on regional steering and planning groups.						
14 Duty to maintain plans	s Countermeasures		Y Y	Y 1	r y y	Y Y Y Y	Y Y	- outline any equiprent requirements - outline any staff training required Y Mess Countermeasure amongements should include amingements for administration, reciption a disabilities of mass projektion and mass vaccination.	The ICB has plans in place to be able to respond to any incident, be part of any incident management team and provide support, red resource and staff (where required) to support the roll out of any countermeasures.	Fully Compliant		Cor	National ingrisement for organisations have arrangements in place to support all incident requiring construmenasions of mains conternational digitization (table to have provide in the provide providence) and the provide providence providence are providence construmenasions of hymeric XEIMP to exploy divergence providence providence are providence construmenasions of hymeric XEIMP to exploy divergence providence providence are providence providence and the providence providence providence providence are providence providence and the providence providence providence providence are providence providence and the providence providence providence providence providence providence and the providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence providence pro	Fully Compliant	ICB coordinates and authorise the deployment of prophylaxis medication incident dependent. ICB have managed to coordinate a number of UKHSA declared outbreaks this year.
								• Unavoidat or main propulses and ansis technical. These may be a requirement for Specialize providers. Community Service Providers. Mental Healt and Primary Care services to develop or support Mass Countermeateurs distribution amangement Organisations should have plans to support patients in their care during activation of mass countermeateurs amangements.	Pathways have been agreed for reasonal influence and avian influence outbreaks while arrangements are in place with local healt care providers to provide health care support as and when necessary. h				outheak control group for a presentencecial cluster in a care home.		
		In line with current guidance and legislation, the organisation has effective arrangements in place to respond to incidents with mass						countermeasure amongements. Commissioners may be required to commission new services to support mass countermeasure distribution localit, this will be deternided on the incident. Amongements should be:	_						
		effective arrangementa in place to respond to incidents with mass casualities.						in line with current national guidance	The NENC ICS will work in line with the NHS Engined Mass Causally Permenoich for Combris and the Nenh East of Engined with the Nenh East of Engined with work undertaken by both Local Health Realiberce Apartmenthys across Combris and the Nenh East and key individuals who have to this the provide work thesaus.	4			National resultances for constraintion to have effective amongsment in stress to success as		LRF animotements would support a myllionence researce to any classificant instant. The MM are set of
15 Duty to maintain plans	Mass Casualty		ŕÝ		Y Y	Y Y Y Y	Y Y	- Index insplay - support of by the aportpather mechanism v- support of by the aportpather mechanism - submet appropriately with these required to use them - outline any staff being required - apolice any staff being required	The Mass Causaly Fareneevs will do use an onserving document for heath and local advorts social can in Combria and the Non-East of English and will support at mass causaly place accuss the various heath and excomina. All local stateholders here plan in place to support any mass causally incidents (heath and can). These plane will be regularly			Cor	Another in the comparation to brain effective anappearses in place to acquire the comparation of the CE's anappearse in place to acquire the comparation of the	Partially Compliant	LRF amangements would support a multiligency response to any significant incident. The ICB would be integral to that response.
		In line with current guidance and legislation, the organisation has arrangements in place to evacuate and shelter patients, staff and visitors.						an emergencifmata casaalar incident where necessary. Amagements should be: • current	n neviewed to ensure subbibly propriateness. The ICB as part of its incident response plan will provide conditions and support should it be necessary to evicuate patients an visitors from any local health care licities and/or local building (a. high rise).				Napording to a max called protein to g primary care, not careful, survivo recessor and hele careful and tables and the careful and tables and t	tire	ICB have an evacuation plan for our estate as part of our business continuity plan. ICB would coordinate
16 Duty to maintain plans	a Evacuation and shelter		Y Y	Y Y	Y Y	Y Y Y Y	Y Y Y	Y • lested requiriy	valans from any local health care facilities and for local buildings (-1, -1, -1, -1, -1, -1, -1, -1, -1, -1,			Cor	Note of spin-sector for expendence to be expressed to this is the number of shifts and s	Partially Compliant	ICB have an execution plane for our estate as part of our business cominative plane. ICB road coordinate any provide even of halters as part of the system second and ICF significant incident segonsa. Example: ICB over save a Op practice to evacuate due to a storm latis year 2022. This will be further secricide in Dec 2023. ICB will adopt Humber and North Yorkahire ICB evacuation and shelter plan.
		In line with current guidance, regulation and legislation, the organisation has arrangements in place to control access and egress for patients, staff and visitors to and from the organisation? premises and key assets in an incident.						Explored corp of a dipole diverse section in them Explored corp of a dipole diverse section in them ender any explored trapitores coding any explored trapitores coding any explored trapitores coding any explored trapitores comment ender diverse should be ender diverse should be ender diverse should be							
17 Duty to maintain plans			Y Y	Y Y		¥	Y	Y = lested regularly = algored off by the appropriate mechanism = algored appropriate with those required to use them							
		In line with current guidance and legislation, the organisation has arrangements in place to respond and manage 'protected individuals' including Very Important Persons (VIIPs) high profile patients and visions to the site.						ruitine and the harmonic newseart Amagements should be: current in line with current national guidance in line with first assessment	-						
18 Duty to maintain plans	a Protected individuals		Ý Ý	Y Y		¥	Ŷ	Y = Issue to regularly = Issue to regularly = signed of Up the appropriate mechanism = shared appropriately with those nequined to use them = outline any equipment nequinements = outline any equipment nequinements							
								- COMPARING AND A STREET AND A ST	_						

Ref Domain	Standard name	Standard Deal	Acute Sp Providers Pr	ipecialist Ami toviders Si Pro	NHS bulance aviders viders	sunit vice ders Servic	nz por NHS111 ces f	Mental Health Providers	NHS NH England Engla Region Nation	nd Integrated Care Board	Commissio ning Support Unit	Primary Care Other Services - fun GP, organ community n pharmacy	NG Separate states in the second state of the second states and th	Openview Follow	Bit assessment RAG Ref pracoupling a lite complete all the com- stander. The organization's work programs alwase complete will not be recarded within the act 13 months. Anther garantig completed with the subset garantig completed with com- stander. Researc, the completed with com- stander. Research as address relations of programma demonstrations address relations of	Action to be unless	Lead Timescale	Commenta NHSE	56mg 9052 free 	edback
		The organisation has contributed by and industriands, it is rule in the multigency anargements for access startist and mass startists, including motiary imagements. This includes anargements for many field and startists.										prarmacy	Annegenetis Hould be: - Anneal - In the shift current radional galations		progress and an action plan to achieve full compliance within the next 12 months. Green (fully compliant) in Fully compliant with core standard.					
19 Duty to maintain plana	Excess fatalities	including montary arrangements. This includes amangements for rising tide and sudden orisist events.	Y	Y	Y Y			Y				¥	In the with DVI processes - In lease think assessment - tasked regularly - signed of Dy the appropriate mechanism - shared appropriately with those required to use them - outline any cognimic requirements							
20 Command and control	On-call mechanism	The organization has easiliant and dedicated michanism and bioturnaria to enable 24/7 moreging and action of incident molfications internal or easternal. This should provide the facility to respond to or eaciliate notifications to an executive lovel.	Y	¥	Y Y	Y	Y	¥	Y Y	Y	¥	,	Prease angle in decode data the EPM party statement One all Strategies are separated an extend of the statement of the statement of the statement of the statement of the statement of the statement code at the statement of the statement of the statement Code where they are shareing CPM's burness code a works for provides and correlated	The ERC C2 has wellahed a 5Y call on which all is the two exhibitions of vifields yields surghtendial entro including includes. This mans make an advance of the second se	n Fully Complexes			par	Notice segments for organization to base a decidate del subset reachanis to acuda 247 incept nel aduce in criccient information as executions, the abuid to the immy to Exacitor in the immy the immediate the immediate and the im	
21 Command and control	Trained on-call staff	Trained and up to data and an available 247 to manage excellence, make doctions and don'ny lay actions	¥	Y	Y Y	Ý	¥	¥	Y Y	Y	¥	,	Press applicit justice and the TOPT party or adament of start Top Indepting and the top test and according in the VOE Carginst EVMO competence and Advance Comparison of the top test and according in the VOE Carginst EVMO competence and the second comparison of the top test and the top test and the top test and the top test and test a	And the Audit to use of the metric single-band semandare characteristics of the Audit to use of the Audit to a set of the Audit to Audit to a set of the Audit to Audit to a set of the Audit to Audit to Audit to Audit to Audit to Audit to Audit the Audit to Audit	Partially Compliant	The development of a plan dealing of mole spectra particular with this place based on the transmission of the spectra particular to the This. This will contain a minima of formal and minima and the standard of the despectra particular and the standard of the despectra particular and the standard of the despectra of the spectra particular to the despectra particular to the despectra of the spectra particular to the despectra particular to the despectra of the spectra particular to the despectra particular to the despectra of the despectra particular to the despectra particula	n Ekkontu	Par Con		
22 Training and exercising	EPRR Training	The organisation carries out toroing in the well-a traving needs analysis to ansure staff are current in their response role.	¥	¥	Y Y	Ý	¥	Y	¥ ¥	¥	¥	Y Y	<u>Process</u> - Process explicitly described within the DMR policy or advanced of elect - Process explicitly described with the DMR policy or advanced or electronic - Taming nucleic for advanced Taxes performing as the within the CC - Taming nucleic for advanced taxing policides for key staff - Extense of partners it being and exercising policides for key staff	A particip week analyses has been completed underscheidly with North Eart & Youkhan (Clas to assure with the development of plan datility all analyses plantician formally, a canada yor of an analyse program and all commonless that and the Northeast of the Analyses of the Analyses of the Strategies and the development of all commonless that and the Northeast of the Analyses of the Analyses of the Strategies and the Strategies and the Analyses of the Analyses	Partially Complete	The development of a plan braining all the training and accurating available iterating and externing which is main-spectry partners will take place tasked on the TNX. This will contain a mixture of formal and formal training assors to ensure iterations faultile and able to adapt to the changing data, prioritis and earls of the organization training and complete a health commanders P Mar.		Par Com		
23 Training and exercising	EPRR exercising and satisfy programma	The second set of the memory ingeneration, in the with second second second sec	Y	Y	¥ ¥	· •	¥	¥	* *	¥	¥	¥ Y	Operation, shall reach to lakeng emoting an lands applanetse. • also obly comparisodiates: • also obly comparisodiates: • also and also obligation and the set of	 But de la construction participamentativa annancia construction service spectrum approximativa anna service spectrum approximativa annancia anna service spectrum approximativa anna service spectrum approximati anna service spectrum approximativa anna service spectrum a	Faly Complex	antia Pia	δο 12 nootha	Part	Manual subjects to the opportunities to these as exercising and unity purportunes in the definition of the second	Evidence of the intert of the org of their 73.E pit rokes, governate within the EPRI debries and to constant and to constant and to constant and the constant a
24 Training and exercising	Responder training	The organization has the addity to measure having records and executed and heldness of addit mith by yields into proprias in according with the Mannus Choogeneous Bandwide Heldness and the second second second second second to markine is continuous periodic divergence of unitability transition is continuous periodic divergence of unitability transition is continuous periodic divergence and and an any transition is continuous periodic divergence and and any transition is continuous periodic divergence and and any transition is continuous periodic divergence and and any transition of the second second second second second second transition is a second second second second second second transition second second second second second second second transition second second second second second second second second transition second second second second second second second second second transition second second second second second second second second second transition second	d Y	¥	Y Y	Y	¥	Y	¥ ¥	Y	¥	ΥY		The MEVE CR3 had exceeds for on-off astronomboles taking and associating aborticous homoure work its register with Neeth contracted professional endown encogational advances, working from the post NEY CR3 TMA example completion	Penaly Complexes	The development of a pice detailing all making and sensitive panalakin kennut, estamuly part is much-generg partners all all parts based on HNL work is required all seperits to least communication of the sensitive required and seperits to least required and seperits to least and and the sensitive of the sensitive and and the sensitive of the sensitive and and the sensitive of the sensitive compared reduction of the sensitive compared reductive and the sensitive sensitive and the sensitive and the sensitive and the sensitive sensitive and the sensitive and the sensitive and the sensitive sensitive and the sensitive and the sensitive and the sensitive sensitive and the sensitive and the sensitive and the sensitive sensitive and the sensitive and the sensitive and the sensitive sensitive and the sensitive and the sensitive and the sensitive and the sensitive sensitive and the sensitive and the sensitiv	Sey v 8.17 Montha	Page		
25 Training and exercising	Staff Awareness & Training	There are nachanism in place to snow staff are away of their roles in an incident of where to find plans nelevant to their area of work or department.	¥	¥	Y Y	Ý	¥	¥	Y Y	¥	¥	¥ ,		The test space fraction throughout an earlier barrel by RC2D be as a EPBR societ throughout an earlier barrel barre	n Fully Compliant			Par Con	National sequences that rescherisms are inpliced to ensure that ALL, staff are asses of their risks and the initial sector and where to find plane solvaries to that areas of each. The expectations is that this in the includent and where to find plane solvaries are regulated by the expectation is that the initial of expectations are also and the expectation of the theory of the theory of each of the base been produced on these totaling are in all because	Evidence to be current mandato percentage stall organisation wo of compliance a
26 Response	Incident Co-ordination Centre (ICC)	The operation has in place subth or different mergeners. The operation has a place subth or different has a baseline different. Click common has been been as the baseline has been as the baseline		¥	Y Y	Ý	¥	¥	¥ ¥	¥	¥	Y Y	"Occurrent of processes for leading the focusion and extellativity and ICC "lines and injustic term of the lead of th	The MDC C3 Incluse Coordinator Curren (CC) is to proces uples when the IC3 can implement and co-ordinate fre- regretation-one in that express and second spectra to process and apply point of contactor regional for autoritor adjust to incluse thiogeneous terms and inclusion and apply point of contactor regional for the process and and for the Depending in the state of the inclusion for the CC apply to apply point of the process and and the formation adjust and the inclusion for the scenario for the process and and the inclusion of the process and inclusion in adjust and the inclusion for the inclusion of the scenario for the process and inclusion of the damp recent patient of the inclusion of the scenario for the scenario for the process and inclusion Current damp recent patients of the inclusion of the scenario for the scenario	Partially Compliant	An ICC wates will take place to enhand based submitted during the segments to the second second second second second the second second second second second second the second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second sec		Par Con		
27 Response	Access to planning arrangements	Version controlled current response documents are available to relevant staff at all times. Staff should be aware of where they are stored and should be easily accessible.	Y	Y	Y Y	Y Y	Y	Y	Y Y	Y	Y	y y	Planning arrangements are easily accessible - both electronically and local copies	With the Surgal-incident Management website housed by NECSU here is a EPPR/Incident Management section which holds information to support communication that response to a major incident and provide background elementation on the old protect data for the Calegory is second and that is a separative free information. Youtakepoint the status place and the status and the second and the second of the second and the second and the second communication to provide them with an overview of the status alor alor when do cale supportabilities.	n Fully Compliant	T Kre M Ho	n kiraon 1-3 Montha	Par Com	Noticed regularisation for version controlled content documents are available to velocer and and an term of the second bia areas where they are stored and should be availy-accessible. When the NGS evidence provides assurance of elevations copies, and the assarsment relations had copied and available within CC castantin, no evidence has been provided which northme these are in place, and docide the growthmode arrangements by within they is a consense and implemented on a	Evidence to be copy plans in pi the hard copy d outine their gov this remiserance
28 Response	Management of business continuity incidente Decision Logging	In the wind counter partnerse and legislation, the supportation to be defined an entrymetry of the strength of the strength of the indicates and defined within the CPRM Parameack. The strength of the strength of the strength of the entrymetry of the strength of the strength of the strength of entrymetry of the strength of the strength of the strength of the strength of the strength of the strength of the strength of the strength of the strength of the strength of the strength and strength of the strength of the strength of the strength of the decision maker.	•									Y Y	Esculation processes Decompeted processes for accessing and utilising loggists Taking records	Net cause of two documents as sensed with the CC2 in Proteined Hass. Studied and Research Haus. Materials The COA see a concerning the coarding of the concerning of the CC2 and CC2 of proteining finance of the the The COA see a concerning the coarding of the concerning of the CC2 of proteining finance and the coarding of the CC2 of proteining of the concerning of the CC2 of proteining finance and and the charace series are include on the concerning of the CC2 of proteining finance. The ACC CC2 concerning the coarding of the concerning of the CC2 of proteining finance. The ACC CC2 concerning the coarding of the concerning of the concerning of the coarding o		Copose basiness controlly plan and policy to the unified and adjustments made following TKM VPR westweeters Miles The ICB will utilize NMS England and UKOBA	it alamon 13.58months	Par Con Con	The set of	f Evidence of log eithers rota or in consident, or exit
30 Response	Situation Reports	The organisation has processes in place for receiving, completing, authorsing and submitting shaaton reports (SiRkes) and briefings during the response to incidents including bespoke or incident dependent formats.	Y	Y	Y Y	Y Y	Y	Y	Y Y	Y	¥	Y Y	Documented processes for completing, quality assuring, signing off and submitting Silfleps Evidence of leading and exercising The experisation has access to the standard Silflep Template	increase the number of trained loggits as well as provide influence training. As part of the incident response plan laction cards there is a process in plane for commanders to complete situation reports as and when required utilizing a standard strap template.	d Fully Compliant	logist courses to increase the number of lownise	K.12mvilles	Par Com	The 2 more and contexpense have unbialised and control hoging target provide the buff the formul function which want and provided attentions of complexes with the started of the complexity approximations to these classify documented processes the resulting complexity approximation and automatical processes and provide and and a their alternational and and approximation to the classify documented processes are approved to their alternational and and approximation to the classification of the classifica- complexity approximation and and approximation to the classification of the classifica	Evidence of the processes for the assurance, sign SitReps to be p
31 Response 32 Response	Access to 'Clinical Guidelines for Major Incidents and Mass Casualty events' Access to 'CBRN incident: Clinical Management and	Key clinical staff (especially emergancy department) have access to the Clinical Galdelines for Migor Incidents and Mass Casually events ¹ handbook. Clinical staff have access to the 'CBRN incident' Clinical Management and health protection' galdence, (Formerly published by PHE).	Y	Y									Oudance is available to appropriate staff either electronically or hard copies. Oudance is available to appropriate staff either electronically or hard copies.	-					enotice element.	
33 Warning and informing	health protection' Warning and informing	The organisation aligns communications planning and activity with the organisation's EPNN planning and activity.	¥	¥	Y Y	Ŷ	¥	Y	Y Y	¥	¥	Y Y	provide evidence snould it be required for an inquiry.	A incluter segures plan for the NDIC CDI has been produced and deals has key principles of segureding to an inclusion of experiment of the NDIC CDI has been produced and deals has key principles of segureding to an inclusion of the produced of the principles of the NDIC CDI has been produced and the principles of the NDIC CDI has been principles of	Fully Complexes			Par Con	National sequences is for the organization to align communications planning and activity that the communication system (SVF) and a the travel common sequence for asserts lacking and setting and months lacking lac	Evidence that o
34 Warning and informing	Incident Communication Plan	The organization has a plann place for communitating during an model of which can be elected.	¥	Y	Y Y	Y	¥	¥	¥ ¥	¥	¥	¥ ¥		The Induce Confluence Centre Conflormer all that with the communication that as reached to ensure all-building seturations. The disc discrementary control is a second trajectory of register all second as the second seturation of the analysis. The CCS communications are well in holders in building seturation of the advance of the advan	F dy Complex			Par	National registers in it for to organization in base splan in place for communicating during an ender the splan to encoder VM that is (2) that included a section in the VM which continue place the splan to encoder VM that is (2) that included a section in the VM which continue place and of the splan terms which and and other splan to the splan term of the place that of the splan terms which and and the splan term of the splan term of the splan term of the splan term of the splan term of the splan term of the section of the splan term of the splan term of the splan term of the section of the splan term of the splan term of the splan term of the section of the splan term of the splan term of the splan term of the section of the splan term of the splan term of the splan term of the section of the splan term of the splan term of the splan term of the section of the splan term of the splan term of the splan term of the section of the splan term of the splan term of the splan term of the section of the splan term of the splan term of the splan term of the section of the splan term of the splan term of the splan term of the section of the splan term of the splan term of the splan term of the section of the splan term of the splan term of the splan term of the section of the splan term of the splan term of the splan term of the section of the splan term of the splan term of the splan term of the splan term of term	
35 Warning and informing	Communication with partners and stakeholders	The operation to an exeptence to place to connect any of the place of the second seco	Y	Y	Y Y	Ý	¥	¥	Y Y	¥	¥	¥ Y	 Statistican resust a community who shall at his that of and who has a drive back shall be a sense to the sense of the sens	CB coming sums have added and investigated and added the schedule groundus, that diply anxies, and CBP plants The CB has no more than a solid and investigated and the schedule groundus, that diply anxies, and CBP plants The CB has no more adjustment of the space and more than the schedule of the schedule and the schedule and backbacks. The CB has a some supresentates so-cal 242 and schedule and schedule and plants and apply and the schedule and hypotheses. The CB has a some supresentates so-cal 242 and schedule and schedule and plants and a double and has had hypotheses. The CB has a some supresentates so-cal 242 and schedule and schedule and a solid and regional have backbacks. The CB has a some supresentates so-cal 242 and schedule and schedule and a solid and migrand have backbacks. The CB has a some supresentates the schedule and the schedule and a schedule and and schedule and backbacks. The CB has a some supresentates as call 242 and schedule and schedule and a schedule and and schedule and the schedule and the schedule and the schedule and the schedule and schedule and the schedule and the schedule and schedule and schedule and the schedule and schedule and schedule and schedule and	Fully Compliant			Par Con	To be days complete with core studied 25, regressions used to be complete with core studied to the state of t	Pending core s evidence
36 Warning and informing	Media strategy	The organisation has amegements in place to existen repid and shurtland communication via the media and social media	¥	¥	Y Y	Y	Y	¥	Y Y	¥	¥	Y Y	 Hency as upper final a strategy and a plan for toor that will be encoded during an incident. The will also for independent distribution of promoting too and only on the model. The strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of a strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the strategy of the	All mediad Detectors are prolocited and/s spotsage-optic in that applicables and represent the coperisation as appropriate. The CS have accel media platforms and these purchase media platforms the size prolite and menoge platforms and expected media and expec				Par Com	We place as 35	Pieze see 35
37 Cooperation	LHRP Engagement	The Accountable Energency Officer, or a director level representative which disciplical adulty (to authorise) prime and commit resources on behalf of their organisation) attends Local Health Realisence Pathership (LHRP) meetings.	Y	Y	Y Y			¥	¥	Y		,	Mindus of medicipa Inductance of medicipa Inductance and the set of the U-SPP must be activities by their employing organisation to act in accordance with their organisational governance anargements and their stability stable and approximations	A New Expand Mice Orderation 1999 https://www.initiation.com/a New Andre Transport, Proprint Angel, Proprint Jacob, Province and Barry Mice Orderation 2000 https://www.initiation.com/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/angel/ang	Fully Compliant			Com	de la constante	
38 Cooperation	LRF / BRF Engagement	The organisation participates in, contributes to or is adrogately represented at Load Resilience Form (LRF) or Bourogh Resiliance Forum (BRF), demonstrating engagement and co- operation with partner responders.	Y	Y	Y Y			¥	¥	¥		,	Mondex of meetings A government a in place if the organisation is represented and feeds back across to system		Fully Compliant			Par Con	National requerements for the expression to participate in, combibilite to ris adequately requested, of the UVF. Extension is these provided with informational good expressions. With we can assess and expression advances to the combibility of participates with the expression and expression advances to the combibility of participates and the combibility of participates and the combibility of participates and the combibility of participates and the combibility of participates and the combibility of participates and the combibility of participates and the combibility of participates and the combibility of participates and the combibility of participates and the combibility of participates and the combibility of participates and the combibility of participates and advances andvances and advances and advances andvances an	Evidence to be contribution to o all 4 LRFs in the advice the stand attendance that requirements is requirements.
39 Cooperation	Mutual aid arrangements	The organization has agreed motival aid amorgenents in place outling the process for requesting, continuing and maximing mutual aid resources. These amorgenents may include staff, explanners, sancins and supples. In for with oursers for gladence, these amorgeneous may be formal and should include the process for requesting Millary Aid to Cell Authorities. BMCAL via MRE Enderd.	Y	Y	Y Y		Y	Y	Y Y	¥		Y Y	Chatalet documentation on the process for requesting, excessing and managing endual with * Company, and other expanded documentation is analytication ICC or an appendices to IRP * Egystel mutual aid equivaments where appropriate	The LHF has per-prepert request processes in place for MACA. The C2D association with a lipson Constrained with an epocher model of requests for MFCA. The C2D association with a minimum and model of the model of the MFCA model with requests. MFCCF Constrained in the minimum and model with the MFCA model with request. The NEY inclusion requested exclusions of include of place and and and associate MFCE. NEY will are to apport the constrained on and and and and and the MFCA.				Par Com	National requirement is for organisations to have agreed mutual aid amangements in place outlining	Evidence to be p

E Fee:	back	ICB Final Rating	CE Comuna
e level. er, as be in divert a during RR 1.8 toe	ICB to provide evidence in line with best practice and national guidance, cutining the structures and amongements in place and a copy of their last communication exercises to device the structure for the exercise in the exercises.	Fully Compliant	ICB two one is communications test in the last 12 modes. Res to 22 month options in place which has been delayed aparts. ICB activation in the last interface of the last interface of the advancements in place that comply with the publicity.
		partially compliant	Part of the wakes after the scattering of the ICB part taking will be enhanced in line with excent metadatory
		partially compliant	Part of the makes after the restitutions of the VCB and taking will be orthorized in line with eccentral contract and the second s
with weny g k RR z 200) z t the s to the s to the s	Endourse of the matrice and exercising meter of the operation of the second of the second of the T-SE projection second of the second of the web one EPPO Parity, a statector of web one EPPO Parity, a statector of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of	Partially Complant	Although ful violance for file although and a stability for scholar phone, phone was already in phase to fulf of coloran white the 2023 calendar year.
		Partially Compliant	Wooking with regional CBs to ensure their generation 2 is to for puppers and adoption of common surgeous problems is put in prices
ir role tis is lence the	Evidence to be provided of an overview of overent mandatory training records and percentage sattle trained within the organisation would give cleaner assumce of compliance against this standard.	Fully Compliant	Meetinery taking is workfully to all of our relevant soft. This is an exchangencess and will be enhanced to even mechanisms are inplace to ensure and are asses of their reciber response role.
		partially compliant	change accepted. CB is ensure CC anargements are inplace and robust
fatall ve ICB opied n on a	Evidence to be provided of the ICBs hard copy plans in place (e.g. photo included of the hard copy documents available, and to outine their governance for maintaining this requirement	fully compliant	Hard copies of plans available within ICC
on a	outline their governance for maintaining this requirement	partially compliant	change accepted
ms that mail	Evidence of loggist availability 24/7 via either a note or informal arrangement to be provided, or evidence that all 18 & 2nd on calls have completed decision loggist training is order to fulfill this function by proxy	partially compliant	Think packages have been participles with UOBA and 247 providence under weive.
L ement ines sses ty	Evidence of the internal governance processes for the completion, quality assumince, sign off and submission of SitReps to be provided	Partially Compliant	ICB to review IRP to ensure that Silflags template includes instructions on frequency of Silflags, where they are to be circulated to etc.
the sident The ee any OS n card.	Evidence that communication colleagues are on call to full the functions outlined in the incident resonance plan (a goograf the outlines) of the those who may be resonance outlines and the regularized waining to low with the regulariset to be commer, qualified and comparent from an MOS perspective	fully compliant	ICB has an on-call corres learns in piece and retas are available and also supported by UKF colleagues.
an sian ion letaibs	Evidence to be provided to support compliance with standard detail, supporting information and compliance requirements	fully compliant	CB has a proof some barrs in place and many auxilian. This communication explores an end of the first interaction of the place and have regularly been used out the last 12 month.
ndærd se is	Pending core standard 23 supplementary evidence	fully compliant	ICB has a un-set comme supre s in place and roles are available. Robust teened and externel communications manyonees are in place sequenced by MICSLICB and L&P.
	Please see 25	fully compliant	ICB has a so-call comm bases in place and rotas an available. Mada amagy is in place and has baser ngadari yalimit in provide periods of industrial action and nodest management
		fully compliant	
t with r ICB, perment with all ily	Evidence to be provide of participation, contribution to or adequate representation at all 4.RFs in the ICB toophirt or we would admise the standard remains amber until attendings that compliance with the requirements is reviewed for the next review cycle.	fully compliant	128 is replately present an impaged at tradingle and techcil level risk management peer review and incident planning. Meetings dantased with EPRR team.
	Evidence to be provided of the overarching governance framework in which mutual aid requests operate	Partially Compliant	ICB made to week however SCC management of surge and incidents provides regular evidence of provision of matual aid.

				1000					Complete	Primary				Set assessment RAC Red (non compliant) = Not compliant with the cort standard. The organisation's work programme shows compliance will not be reached within the next 12 months.					
Ref Domain	Standard name	Standard Detail	Acute Specialist Providers Providers	Ambulance Co Service 75 Providers Pro	mmunik Service sviders Servic	nor NHS111 Heath Providers	NHS England Region	NHS England National Care I	prated Board Unit	Services - GP, or community pharmacy	funded organisatio ns	Supporting Information - including examples of evidence	Organitational Evidence	Amber (partially compliant) = Not compliant with co standard: However, the organisation's work programme demonstrates sufficient evidence of progress and an action plan to excleve Nat compliance within the next 12 months. Crean Allor compliant = Fully compliant with no	re Action to be taken			ents NHSE R	ang NISE Food
		The organisation has anangements in place to prepare for and respond to incidents which affect two or more Local Health Realizince Partnership (LHRP) areas or Local Realisnce Forum (LRP) areas.										-Dataled documentation on the process for coordinating the response to incidents affecting two or more LHHM more than the second seco		sinder					National requirement for organizations to have interspensem in places to propose for and respond to incidence which effect multiple LHMPLAP event. This standard refers to the response requirement in examing that their is detailed documentation on the process to constitute across at LHP Endproyment their LOS as and -this build include information indicat and multiple TCO.
40 Cooperation	Arrangaments for mubi area response			¥			¥	¥ ,	¥		¥		There is a ME Risk Assessment Drog which works is a direct of an upper and a workplan for all naiving and exercising for Nort Executions	Fully Compliant				Non	the GAC and the Let main. It is marginally initial formula the analysis of the Let marginal the scheme of the particular the Californian of the Analysis of the Let marginal the analysis of the scheme of the Californian of the Let marginal the Let marginal the appropriate scheme of the Let marginal the Let marginal the Let marginal the particular of the scheme of the Let marginal the Let marginal the appropriate scheme of the Let marginal the Let marginal the appropriate scheme of the Let marginal the Let marginal the let marginal the let marginal the Let marginal the Let marginal the scheme of the Let marginal the Let marginal the Let marginal the the CAL marginal the let marginal the Let marginal the Let marginal the let marginal the letter the letter and the letter the Letter and the letter the Letter and the letter CAL marginal the letter the Letter and the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the letter the
41 Cooperation	Health tripartite working	Arrangements are in place defining how NHS England, the Department of Health and Social Care and UK Health Security Agency (UKHSA) will communicate and work together, including how information reliating to raidonal emergencies will be cascaded.						¥				- Detailed documentation on the process for managing the national health aspects of an emergency - LHRP terms of inference						Reneli	Tables, and nothing intrasted in the IRP and On call Plank.
42 Cooperation	LHRP Secretariat	The organisation has arrangements in place to ensure that the Local Health Realismore Partnership (LHRP) meets at least once every 6 months.					¥	,	¥		1	-Mweling ayendaa	A their discurst kind Control Lindford has been established to cursues the kindle Company. Proprietiess, Realistics and Reapons (EPR) manymenses, across that the Care and kindle Control Lindford and L	Fully Compliant				Fuß Compi	-
43 Cooperation	Information sharing	The organisation has an agreed protocol(b) for sharing appropriate information perfinent to the response with statisticiders and partners, during incidents. The organisation has in place a policy which includes a statement of interest is emissible to incident the incidence statement of interest is emissible to incident the statement. This includes a statement of interest is emissible to incident the incidence statement of interest is emissible to incidence statement of incidence statement		Y	Y Y	Y Y	Y	¥	Y Y	Y	Y	 Documental and signed information sharing protocol Existence televent guidence has been considered, e.g. Freedom of Information Act 2000, Garenal Data Protection Regulation 2016, Guidence Thirtic guidence the Guidence and the Chil Christianesia Act 2004. Destination and the Chil Christian and the Chil Christianesia Act 2004. Destination and the Chil Christianesia Act 2004. 	A serve o mentica de la concentra de la concentra de la concentra de concentra de la concentra de la concentra Information la shared between LRFs during incidente suario part of the LRF durine. Information sharing remains part of the LRF durine.	Fully Compliant				Nor Compl	National requirement is for the organization to here an agreed protocol for sharing information perform to the response. No evidence provided of an agreed protocol for sharing appropriate protocol or sharing appropriate and partners, during incidents
44 Business Continuity	BC policy statement	The organization has in place a policy which includes a statement of iterate to underlayed business controlluty. The includes the commitment to a Business Controlluty Menagement Bystem (BCMB) that aligns to the <u>1502 standard 20001</u> .		¥	Y Y	¥ ¥	¥	¥ ,	Y Y	Y		-Documents and signal chemistric and special characteristics of the special special special special special special special characteristics of the special special special special special special special special special special special special special special special special special special special special special special special special special special special special special special special specia	The ICB BC policy contains all of the key endertial alwayers but is availing sign off as part of the ICB 2.0 opening model	Partially Complians	BC policy to be satilied following announcement of revised structure in November 2023	ТКлах	1-3 Months	Partia Compil	-
45 Business Continuity	Business Continuity Management Systems (BCMS) scope and objectives	The organization has established the scope and objections of the BCOR in insidion to organizedin, specifying the nix mategianet process and how the will be documented. A defaulton of the scope of the programme means a clear ordextaperfug of which means of the organization are in and out of scope of the BC programme.	Y Y	¥	Y Y	¥ ¥	¥	¥ ,	Y Y	¥	¥	DCM (build read and Comparts a lay product of the scope and exclusion from the scope of the scope and the scope and the scope and exclusion from the scope - The scope and the sc	The CEB EC poly senters all of to key solidered almost to it autility sign of a part of the CEB EC special parts of a partner as matching target of any solid target of the solid partner as matching. The poly of poly data, the solid partner as matching the poly of poly data, the solid partner and the solid p	Partially Compilent	BC policy to be ratified following			Partis Compi	
		The organisation annually assesses and documents the impact of disruption to its services through Business Impact Analysis(es).									1	The organisation has identified prioritised activities by undertaking a strategic Business Impact Analysis/Rasessments. Business Impact Analysis/Rasessment is the key first stage in the development of a BCMB and is therefore critical to a business controlity programme.	_		arrouncement of revised structure in November 2023	Т Клох	3 months		
46 Business Continuity	Business Impact Analysis/Assessment (BIA)		Y Y	¥	Y Y	¥ ¥	¥	¥ ,	Y Y	¥	Y	December programme with the Bit and an exploration of the Bit and an exploration of the section	The KCB is constry underskip a total searchare of the department and series areas, this structure should be forein in Neuron between and specifically for subsets in para analysis for a neuronal sector and the neuron of the complete sector and the neuron of the neuron	Non Compliant	A focused piece of work will be completed on citical service areas within the next months to complete business impact maying informed by the pending change of ICB services. Further ICBs will be completed			Nor Compl	-
		The organisation has business continuity plans for the management of incidents. Detailing how it will aspond, recover and manage its services during disruptions to: - secold										impartially. Documented evidence that as a minimum the BCP checklist is covered by the various plans of the associations.	-		normed by the pending charge of ICB structure. Further Bilds will be completed within 12-18 months	T Knox P Morley	12-18months		
47 Business Continuity	Business Continuity Plans (BCP)	- people - oformation and state - or explaine - of an and infrastructure - I I and infrastructure	¥ ¥	¥	Y Y	¥ ¥	¥	¥ ,	¥ ¥	¥	Y	Annue 1993 an Unwelsopie uning fan 180 2021 an fan 1993 Toskik, BC Penereg su unterkiker Penere and Engel - Capitalisa and annuesta - Pener Santa and Annues	The ICB test a copone IC plan which ontain all of his top indicated adments had a surface sign of an part of ho ICB 20 granting mode. Following the completion of particular large and any assessment of the samchard of the ICB, SCP will be developed for departments are sense which will have ICC adds infinited to ensure plan are tasked, exercised and their is IV assessment particular tasking and the ICC adds infinited to ensure plan are tasked, exercised and their is IV assessment and the ICC adds in the ICCC adds infinited to ensure plan are tasked, exercised and their is IV assessment and particular task and the ICCC adds in the ICCC adds in the ICCC adds in the IV assessment and the IV assessmen	Partially Complete	A focussed piece of work will be completed on critical service areas within the next months to complete business any pactical subject informed by the pending change of ICB structure, Further EMs will be completed			Paria Compi	2
		The organisation has in place a procedure whereby testing and avantaining of Business Continuity plane is understaken on a yearly basis as a minimum, following organisational drange or as a result of learning from other business continuity incidents.				Y Y					-	-Assemblinghosensiona Concentration based asserting and asserting and asserting asserting Discussion based asserting S-indiation Examples Line samples Line samples Line samples Line samples	All elements of business controlly has been tested throughout industrial action and winter pressures however the concores BCR-	Patially Compliant	development of BCPs	P Morley	12-18months	Partie	
48 Business Continuity	Testing and Exercising	Otganisation's Information Technology department certify that they									-	Evidence Post exercise/texting reports and action plans	All elements of business contruly has been tested throughout industrial action and winter pressures however the corporate BCP needs to be signed off following the implementation of the LCB 2.2 operating mobil The LCP 2.4 operating mobil operation of the LCB 2.2 operating mobility operation operations are apprecised and the second action operation operation operation operations are apprecised as the second action operation operation operation operations are apprecised as the second action operation operation operation operation operations are apprecised as the second action operation operation operation operation operations are apprecised as the second action operation operation operation operations are apprecised as the second action operation operation operation operation operations are apprecised as the second action operation operation operation operation operation operation operation operations are apprecised as the second action operation operation operation operation operation operation operations are apprecised as the second action operation operation operation operations are apprecised as the second action operation operation operation operation operation operation operation operations are apprecised as the second action operation operati	Partsally Compliant	on critical service areas within the next 3 months to complete business impact analysis informed by the punding charge of ICB stuctures. Further BIAs will be completed within 12-18 months to allow for the desalowment of RCPs.	P Morley T Knew	12.18mmths	Compl	
49 Business Continuity 50 Business Continuity	Data Protection and Security Toolkit BCMS monitoring and evaluation	Organization's Information Technology department carrily that they see complian with the Data Protection and Security Toolet on an arraid basis. The organization is SCHS is monitoring maintain and have an established Kay Performance Indicators. Reports on these and the occorres of any services, and datas of any corrective action are annually reported to the board.				Y Y Y Y				Y	Y Y	Extense Statement of compliance Action sets to addet constituency. If not achieved Action sets to addet constituency of SetSAM - SetSAM -	The ICB has completed a CBPT self-assessment to domosting the Is panching good data seculty and that personal information handled commonly The ICB BC polycontains all of the kay evolution all and intermess to all assisting sign of the period free ICB 2.0 spectrag model. The BC polycy will have a lid government and status in pipes for approxed on s.1	Partially Compliant	A focussed piece of work will be completed on ortical service areas within the next 3 months to complete business impact analysis informed by the pending charge of ICB structure. Further BlAs will be completed within 12-18 months to allow for the development of BCPs			Partia	v s
		The organisation has a process for internal audit, and outcomes are included in the report to the board.										- process documented in EPRR policyBanesa continuity policy or BCNS aligned to the audit organisms for the organisation - Analt specific - Remedial action path Partia agreed by top management. - An independent business controlly management audit sport.	Ch-going organisational learning has blan place during the response to industrial action and other incidents. A number of debinth have been held to dentrify best practice to be embedded into future BCPs.		within 12-18 months to allow for the development of BCPs	T Knox P Motev	12-18months		
51 Business Continuity	BC audit	The optimistion has conducted suth a planned intensite to contim they are conforming with its own business continuity programme. There is a process in place to access the effectiveness of the RCMS and take contractive action to ensure continual improvement to the BCMS.	Y Y	Y	Y Y	Y Y	Y	Y Y	Y Y	Y	Y	-Remedia class pine hat a speed by por management. A net independent basiness confinal management and attracts of the safety barring schedules are soften produced and a speed by the organization and produced as and configuration. The control factor is a strength of the borestandard as and a consume process downsheld in the LPMR polycitamians controlluy joing of DLB - A configuration theory and a strength of the control factor of the DLB - A configuration theory and the LPMR polycitamians - A configuration theory and a strength of the DLB - Improvement pairs factoring internet or external adding - Improvement of autobalty	As a full review of the business continuity management is to take place in the next few months, an audit of our BOUE has not take place at the time.	Non Compliant	process for internal audit to be developed, and outcomes included in a report to the board.	Т Клах	12-18months	Nor Compl	
52 Business Continuity	BCMS continuous improvement process		Y Y	¥	Y Y	Y Y	¥	¥ ,	¥ ¥	¥	¥	Controls in Engoverner can be deterfield as the following routes: - Leaves lawner divergence averaings - Changes be anyonized average and the second secon	As per core standard 10, dats will be part of the BCMB gauge broased	Non Compliant				Nor Compl	-
		The organisation has in place a system to assess the business commany plans of commissioned providers or suppliers; and are assured that these providers business continuity amorganeers align and an interproperties with their came.										P-Informance approach Coupling participance - Dealersh - Dealersh - Assess Research Frontier and Assess - Assess Research Frontier and Assess (Part 1996) - Assess Research Frontier and Assess - Productinguite assessments - Productinguite assessments - Productinguite assessments	-		process to be put in place to assess the effectiveness of the BCMS and take corrective action to ensure continual incrovement to the BCMS.	Т Клох	12-18months		
53 Business Continuity	Assurance of commissioned providers / suppliers BCPs		Y Y	Y	Y Y	Y Y	Y	¥ Y	Y Y	¥	¥ 1	-Prodeburgslein balanses ontendu erangemente The may be supported by the organization processmen or commercial barne (where bained in BC) attender phase and at set inservats for otical and/or high value suppliers	As per of the processment process, commissioned providenshoppilen BCPs are received and assessed to enable the ICB to be assured that they have BCM anarguments in place.	* Partially Compliant	BC policy to be staffied following announcement of revised structure in November 2023	M Hopkinson T Knox	8 months	Nor Compl	
54 Business Continuity	Computer Aided Dispatch	Manual distribution processes for Emergency Operations Centre / Computer Aided Dispatch systems are inplace and have been fully statid annually, with learing identified, recorded and actued upon The organisation has identified responsible toles/people for the following ulements of HazmatCBR4: - Accountability - alth AIRO		¥								Exercising Schedule Existence of post exercise reports and embedding learning Deals of accountability/responsibility are clearly documented in the organisation's HarmarCBRN plan and/or Emergy Planning policy, analida to the identified risk and role of the organisation		_		- roll			
55 Hazmat/CBRN	Governance	- Accountability - via the AEO - Planning - Training - Equipment checks and maintenance Which should be clearly documented MannadcEBNN risk assessments are in place which are appropriate of the organization type	Y Y	Y	Y	Y						Evidence of the risk assessment process undertaken - including -							
56 Hazmat/CBRN 57 Hazmat/CBRN	Hazmat/CBRN risk assessments Specialist advice for Hazmat/CBRN	Organisations have signposted key clinical staff on how to access appropriate and timely specialist advice for managing patients involved in HaumaCGRN ncidents.	Y Y Y Y		Y	Y				Y	1 1 1 1 1	i) assessment of impacts on staff ii) project assessment of protecting hazardoo a water iii) management of potentially hazardoo water iii) management of potentially hazardoo a water Daff are same of the number of process to gain access to addres through appropriate planning ammgement. These should include COORA, 100:ABCA, 100:00, UNIGA.							
- PazmasCESIN	exposure	Involved in Hazmat/CBRN ncidents The organisation has up to date specific Hazmat/CBRN plans and response animgements aligned to the risk assessment, extending expend ICR amagments, and which are supported by a programme of regular training and exercizing within the organisation and in conjunction with extend adiabaholdeas.	· •			Y						Arregeneries should include too dickians mudd access specialist chical ables for the on-going memoratif at animize the advector of the following command and control inductions command with a control inductions consisted with the Antolances Transfer HeumatCERN pathol Recoders to manage and controlsing commissions with other key statisticities and other the control of the Antolances Transfer HeumatCERN pathol Recoders to manage and controlsing commissions with other key statisticities and other the control of the Antolances Transfer Antolance Antolance and the Antolance and the Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance Antolance							
58 HuzmatCBIN	Hazmat/CBRN planning arrangements	corp.ccim.eth.external salahitdes	¥ ¥		¥	¥						Anotation many and controls connections with the fay failed Chief of Anotation of the approximation of the failed Chief and Chief Anotation of the approximation of the chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and chief and and chief and chief and chief and chief and chief and chief and and chief and chief and chief and chief and chief and and chief and chief and chief and chief and chief and and chief and chief and chief and and chief and chief and and chief and the chief and and chief and the chief and and the chief and the chief and and the chief and and the chief and the chief and the chief and and the chief and the chief and the chief and and the chief and the chief and the chief and and the chief and the chief and the chief and and the chief and the chief and the chief and and the chief and the chief and the chief and and the chief and the chief and the chief and and the chief and the chief and the chief and and the chief and the chief and and the chief and the chief and and the chief and the chief and the chief and and the chief and the chief and the chief and and the chief and the chief and the chief and and the chief and the chief and the chief and and the chief and the chief and the chief and and the chief and the chief and the chief and and the chief and the chief and and the chief							
55 Hazmat/CB/IN	Decontamination capability availability 2 ff	The organization has alkeguite and appropriate well descritational observations of the second second second second second second problems, per Morgin A. Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Second Sec	Y									Document and the Specific forming the documentation same - texturing (Ergs Carstralling) Margan (2004) travel or any index for soft for an and a schedulty gas each short Margan (2004) and any analysis on the solutified or soft bard Margan (2004) and any analysis on the solutified or soft bard Advanced and a soft soft and a soft and a soft of a soft of a soft of the and of Constants and and margan (2004) and a soft of a soft of a soft of the advanced and a soft of the soft of the soft of the soft of the distant of the soft of the soft of the soft of the soft of the Margan (2004) and the soft of the soft of the soft of the soft of the soft of the soft							
60 HuanatCBBN	Equipment and supplies	The operation bill dependence approximate the same and compared and particle operation of particle for the same and particles. The same approximate the same and the same approximate the same appro	Y Y		¥	¥					1	The prevent product include instances destinations, we produce another the entry of the instances of the prevent of the preven							

		ICB Final Rating	£8 Connerts
dence to be provided which details unrented processes for constraining provide the second second second second anangements for cross bodier oring (e.g. Cumbrie)		fully compliant	CR to expect or an expension in place for incident all heating multiple LVF areas when RVF and in-cell commander formation. (CB also the 4 + an end of man splate in the with LVF is in manage incidents appropriately
		fully compliant	no change
dence to be provided of the artisations documented and signed rmation sharing protocol		Fully Compliant	Information is shared on a weekly basis with LRFs and other health partners by circulation of a weekly revealebut. Protectol to be put in place going forward.
		partially compliant	ICB business controlly anarguments are subject to a full review in early 2024 in line with restructure under ICB 2.0
		partially compilant	128 basheas confusly anarguments as addjorn a 150 mine in any 2014 in the with naturative under 128 2.0
		non compliant	ICB business contruly exergences as subject to a University and 2014 is the with estimates under 100.27
		partially compliant	128 business controlly anarguments as adjust to 1 Minutes in any 2224 in the with notificative under 128 2 0
		partially compliant	128 business controlly antargements are subject to a full noive in early 2024 in line with nestructure under 128 2.0
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		partially compliant	1C8 business continuity amangements are subject to a full review in early 2024 in line with restructure under 1C8 2.0
	-	non compliant	no change
		non compliant	na change
		non compliant	no change
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											Self assessment RAG							
Ref Domain	Standard name	Standard Detail	Acute Specialis Providers Provider	NHS Ambulance s Service Providers	Communit y Service Providers Ser	sient nspor t vices Pr	tental NHS NHS Isabh England England Cave Baare	ommissio ning Support Unit CP, community pharmacy	r HSI Mala Maran Na Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Maran Mara	Segmentered Training	Red from completing in Net complete with the core standard. The regularization was to programme shows completions with the resched within the next 12 months, and the gentrally completing. Net complete with core statisfield frowers, the organization's work programme demonstrates aufiliatest evidence of programme demonstrates aufiliatest evidence of completions within the next 12 months. Over an Unit completion is while the first the completion of the completion of while complete the theorem	Action to be taken	Laad Timesca	e Commente NHSE Ratin	, wat re	emack	CB Final Auto	
61 HazmatCBIN	Equipment - Preventative Programme of Maintenance	The sense sense tables programs of a distance of PRI (a list of the sense sense sense tables) and the sense sense sense tables and the sense sense sense tables and the sense sense sense tables and the sense sen	Y Y		¥		×	×	Chordward provate to explore metatronic scholar knowledge after oppression Hanne CAPRIng, and the splore scholar scholar knowledge after oppression Hanne CAPRIng, and the splore scholar scholar knowledge after Hanne CAPRIng, and and an anti-splore scholar discourse it is easily and for a field scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar Hanne CAPRIng, and and and an anti-splore scholar discourse it is easily and anti-splore scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar Scholar scholar scholar scholar scholar scholar scholar scholar scholar Rescalar scholar scholar scholar scholar scholar scholar scholar scholar Scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar Scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar Scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar Scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar Scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar scholar Scholar scholar scholar Scholar scholar schol									
62 Hazmat/CBRN	Waste disposal arrangements	The organisation has clearly defined weate management processe within their Haumar/CBINI plans	¥						Documented anregements for the suble stronge (independent scores holding) of water Documented anregements - in consistent with other energency services for the eventual disposal ⁴ areas water used during documentering - Used or experiment - including and them - Used or experiment - including and them Any opprised robust means disposal means the included in the suppler autit conducted under									
63 Hazmat/CBRN		The optimization most New an Addicutent training materials to define Human CENNTraining which is adapted to the organizational Human CENNT plan and associated risk assessments 19	Y Y		¥		¥		On findered 33 Userbid visions having stadeon white the uppersation's NumericDNN place (ju EPNR Warthprice) Compared to the set of the stadeon state of the set of the set of the densities of the state of the state of the state of the state of the set of the compared to state of the state of the numerical state of the state of th									
64 Hazmat/CBRN	Staff training - recognition and decontamination	The operation understants taking to all staff who are next taking to come the concerning potentially contained painters and painters waying decontainersian. 2014 If an enzy next contain with a potentially containing the patient whether is persons over an approx. are sufficiently transmission painters way and the provided staff of the patient in histo- paentors in Reports (100) process are indicated to be acti- need staff, the includes (but and limited to b) actin, community, and other transmission (comes). The patient takes are also and other transmission common staff of the patient takes and and other transmission common.	Y Y		¥		¥		Coloca of tract target global-programs and designed advance. Existence of the target schalar selection is the select convert guidance (where executing) and compression isocolo									
65 Hazmat/CBRN	PPE Access	- Attorner, asuliei, avoides not service in traditionational performs any avoid a substantial and a substantial and a substantial performs any avoid concentramistica with a confirmed response to a constraintial and a substantial and a substantial to use, appropriate PPP and a substantial and a substantial and a substantial available for immediate deployments analy unadratalise and performant PPP available for immediate deployments analy unadratalise and performant decontentmetion and/or access to PPPP3 (or equivalent) 24/7	S Y Y		¥		Y		Completed explores therethere, including completion data Preseing unhabite of exocols housed be mariteded to all shall who may come two contact with confirmed employed commendation Emergency Department at Acute Traces are required to maritesin 24 Operational PRPS and									
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68 CBRN Support to acute Trusts	Capability Review	NH2 Antroduces Traditional control indicates a noise of the CORNING and the Control of the Control of the peoplehical region. Designment helphals are block identified by NH2 England as also in Emanglehysic decomtemation coupleshy attached to be Emanglehysic Department on a discussion of the national RM2 Attaches. Tradit must form the start and comparison of the NH2 attached to the Attaches. Tradit must form the start and comparison of the NH2 attached to the Attaches. Tradit must form the start and comparison of the NH2 attached to the Attaches. Tradit must form the start and the start and the Attaches. Tradit must form the start and the Attaches.	9	Y					Docamented enderson of that roaking including: - Cancel of investments: - Varies of investments: - Parcing of the nextment - Parcel of the nextments: - Reduced of the nextments: - Cancel and the nextments: - Docamented enderson of that rokes, including: - Docamented enderson of that rokes, including:									
69 CBRN Support to acute Trusts	Capability Review Frequency	capatolity in each designated hospital beennelly (at least once every two years).	r	Y					Datas of noview. -What wate noviewed. -Findings of the noview. -Any second actions.									
70 CBRN Support to acute 70 Trusts	report	Following each formal review of the capability within a designated hospital, the NMR Antodarca Tatast mad produce a sport desiling the level of comparison against the strategies as role in the and the VMB England Regional EM9R Lass. Copies of all such reports mattle to estimate by the NMR Antodarce Trast for at lass 10 years and they matted an under a NMB Antodarce Resistance Lang VADU, on baind of NMR England Public Comparison Resistance Lang VADU, on baind of NMR England		¥					Padarov of nonexelableauxed of definition (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Constraints) (Con									
71 CBRN Support to acute Trusts	Train the trainer	NPE Anotherms Traits must apport each dissignment helpsaft in hear region with surging to support the CoNNetMarka decontentiation and PRPS capability. That traiting this the form of Yamis the trainer's existions as anismics to these characteristics and the trainer's existing must be aligned to indicated heaptake can then existed the historical Antices of Resistance. If the CoNNetMarka must be aligned to indicate Resistance and the trainer's activity and the National Antices Resistance. If the CONNetMarka		Y					Without obtained as to how this is achieved, which can then be further investigated during regardation. Evidence of training records and/or a disconnented training schedule. Provision of valuable training disconnentiation – syliboux, sixson plane, etc., that shows the dubal of WMX21 and more that there into more of a lacosoft britering and advants. This can then be MMX21 and more that there into more of a lacosoft britering and advants. This can then be the									
72 CBRN Support to acute Trusts 73 CBRN Support to acute Trusts	Aligned training Training sessions	Intering protocols of yith PHD Articlated in Institut the purpose must be aligned to national term the trainer protocols gas approved by the National Articlations Resilience Unit for CBRNH safets Auronationations and PBRP, availablesis Protocision of training assistance will be amonged pintly between the NHS Articlated Training training and the assistance of the approximation of the automation of the automatical terms of the approximation of the NHS Articlated Training assistance will be amonged pintly between the NHS Articlated Training the automatical terms of the automatical terms of the automatical canadical sets will be automatical to call insolitation.		Y Y					It wents defended. NARVC on provide The latest version number of associated twining packages. This can then be cross-selenced against lateson plans and twining packages in scale. Tools to ensure up-to-date under all string is a long deliverue. Chara reductics of documentation (E.g., a context, MAC), or equivalent, that details too training is delivered to aska Tools. Too show, etc.).									

	Action Plan			Overall Assessment	Non-Compliant			
Ref	Domain	Standard name	Standard Detail	Supporting Information	Organisational Evidence	Self assessment RAG	Action to be taken	Lead
22	Training and exercising	EPRR Training	The organisation carries out training in line with a training needs analysis to ensure staff are current in their response role.	Evidence • Process explicitly described within the EPRR policy or statement of intent • Evidence of a training needs analysis • Training records for all staff on call and those performing a role within the ICC • Training materials • Evidence of personal training and exercising portfolios for key staff	A training needs analysis has been completed collaboratively with North East & Yorkshire ICBs to assist with the development of a plan detailing all training available internally, externally and via multi-agency partners. All commanders have attended the Principes of Health Command training and provided with 1:1 training in relation to specifics of the role and accessing key documentation. Health commander portfolios will be put in place in the next 12 months using the recently created joint NEY ICBs TNA	Partially Compliant	The development of a plan detailing all training and exercising available internally, externally and via multi-agency partners will take place based on the TNA. This will contain a mixture of formal and informal training session to ensure it remains flexible and able to adapt to the changing risks, priorities and needs of the organisation. Competent individuals will carry out all EPRR training and complete a health commanders portfolio	T Knox
24	Training and exercising	Responder training	The organisation has the ability to maintain training records and exercise attendance of all staff with key roles for response in accordance with the Minimum Occupational Standards. Individual responders and key decision makers should be supported to maintain a continuous personal development portfolio including involvement in exercising and incident response as well as any training undertaken to fulfil their role	Evidence • Training records • Evidence of personal training and exercising portfolios for key staff	The NENC ICB hold records for on-call commanders training and exercising attendance however work is required with regards to health commander portfolios and minimum occupational standards, working from the joint NEY ICBs TNA recently completed	Partially Compliant	The development of a plan detailing all training and exercising available internally, externally and via multi-agency partners will take place based on the TNA. work is required with regards to health commander portfolios and minimum occupational standards This will contain a mixture of formal and informal training session to ensure it remains flexible and able to adapt to the changing risks, priorities and needs of the organisation. Competent individuals will carry out all EPRR training and complete a health commanders portfolio	
46	Business Continuity	Business Impact Analysis/Assessment (BIA)	The organisation annually assesses and documents the impact of disruption to its services through Business Impact Analysis(es).	The organisation has identified prioritised activities by undertaking a strategic Business Impact Analysis/Assessments. Business Impact Analysis/Assessments is the key first stage in the development of a BCMS and is therefore critical to a business continuity programme. Documented process on how BIA will be conducted, including: • the method to be used • the frequency of review • how the information will be used to inform planning • how RA is used to support. The organisation should undertake a review of its critical function using a Business Impact Analysis/assessment. Without a Business Impact Analysis organisations are not able to assess/assure compliance without it. The following points should be considered when undertaking a BIA: • Determining impacts over time should demonstrate to top management how quickly the organisation needs to respond to a disruption. • A consistent approach to performing the BIA ehould be used the word time the stratement in the stratement of the stratement the stratement of the stratement of the stratement of the stratement of the programing the BIA ehould be used theorybut the considered when	The ICB is currently undertaking a total restructure of its departments and service areas, this structure should be known in November 2023 which will inform a review of business continuity arrangements and specifically for business impact analysis for all relevant areas of the ICB. A focussed piece of work will be completed on critical service areas within the next 3 months	Non Compliant	A focussed piece of work will be completed or critical service areas within the next 3 months to complete business impact analysis informed by the pending change of ICB structure. Further BIAs will be completed within 12.18 monthe	T Knox
47	Business Continuity	Business Continuity Plans (BCP)	The organisation has business continuity plans for the management of incidents. Detailing how it will respond, recover and manage its services during disruptions to: • people • information and data • premises • suppliers and contractors • IT and infrastructure	should be used throughout the organisation. Documented evidence that as a minimum the BCP checklist is covered by the various plans of the organisation. Ensure BCPS are Developed using the ISO 22301 and the NHS Toolkit. BC Planning is undertaken by an adequately trained person and contain the following: • Purpose and Scope • Objectives and assumptions • Escalation & Response Structure which is specific to your organisation. • Plan activation criteria, procedures and authorisation. • Response teams roles and responsibilities. • Individual responsibilities and authorities of team members. • Prompts for immediate action and any specific decisions the team may need to make. • Communication requirements and procedures with relevant interested parties. • Internal and external interdependencies. • Summary Information of the organisations prioritised activities. • Decision support checklists • Details of meeting locations	The ICB has a corporate BC plan which contains all of the key evidential elements but is awaiting sign off as part of the ICB 2.0 operating model. Following the completion of business impact analysis and assessment of the restructure of the ICB, BCPs will be developed for departments/service areas which will have BC leads identified to ensure plans are tested, exercised and there is full awareness as part of the BCMS	Partially Compliant	A focussed piece of work will be completed or critical service areas within the next 3 months to complete business impact analysis informed by the pending change of ICB structure. Further BIAs will be completed within 12-18 months to allow for the development of BCPs	
48	Business Continuity	Testing and Exercising	The organisation has in place a procedure whereby testing and exercising of Business Continuity plans is undertaken on a yearly basis as a minimum, following organisational change or as a result of learning from other business continuity incidents.	Confirm the type of exercise the organisation has undertaken to meet this sub standard: • Discussion based exercise • Scenario Exercises • Simulation Exercises • Live exercise • Test • Undertake a debrief <u>Evidence</u> Post exercise/ testing reports and action plans	All elements of business continuity has been tested throughout industrial action and winter pressures however the corporate BCP needs to be signed off following the implementation of the ICB 2.0 operating model	Partially Compliant	A focussed piece of work will be completed or critical service areas within the next 3 months to complete business impact analysis informed by the pending change of ICB structure. Further BIAs will be completed within 12-18 months to allow for the development of BCPs	n

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ead Timescale Comments

6-12 Months

12 months

12-18months

12-18months

12-18months

	Action Plan			Overall Assessment	Non-Compliant		
Ref	Domain	Standard name	Standard Detail	Supporting Information	Organisational Evidence	Self assessment RAG	Action to be taken
50	Business Continuity	BCMS monitoring and evaluation	The organisation's BCMS is monitored, measured and evaluated against established Key Performance Indicators. Reports on these and the outcome of any exercises, and status of any corrective action are annually reported to the board.	Business continuity policy BCMS performance reporting Board papers	The ICB BC policy contains all of the key evidential elements but is awaiting sign off as part of the ICB 2.0 operating model. The BC policy will have a full governance structure in place for approval on a 3 yearly basis or adhoc changes. On-going organisational learning has taken place during the response to industrial action and other incidents. A number of debriefs have been held to identify best practice to be embedded into future BCPs	Partially Compliant	A focussed piece of work will be completed a critical service areas within the next 3 month to complete business impact analysis informed by the pending change of ICB structure. Further BIAs will be completed within 12-18 months to allow for the development of BCPs
DD2	EPRR Training	Minimum Occupational Star	The organisation's operational, tactical and strategic health commanders TNA and portfolios are aligned, at least, to the Minimum Occupational Standards and using the Principles of Health Command course to support at the strategic level.	Health Commander portfolios	Whilst a training needs analysis has been completed collaboratively with North East & Yorkshire ICBs to assist with the development of a plan detailing all training available internally, externally and via multi-agency partners, production of health commander portfolios and implementation will be completed in the next 6 months	Partially Compliant	development of a plan detailing all training available internally, externally and via multi agency partners, production of health commander portfolios and implementation w be completed in the next 6 months
DD3	EPRR Training	EPRR staff training	The organisation has included within their TNA those staff responsible for the writing, maintaining and reviewing EPRR plans and arrangements (including Business Continuity and incident communication).	Training needs analysis roles includes EPRR staff	Whilst a training needs analysis has been completed collaboratively with North East & Yorkshire ICBs to assist with the development of a plan detailing all training available internally, externally and via multi-agency partners, production of health commander portfolios and implementation will be completed in the next 6 months	Partially Compliant	development of a plan detailing all training available internally, externally and via multi- agency partners, production of health commander portfolios and implementation w be completed in the next 6 months
DD4	EPRR Training	Senior Leadership Training	Those within the organisation that are accountable for the oversight of EPRR arrangements are included in a TNA.	Training needs analysis roles includes AEO and any of those with delegated authority.	 Whilst a training needs analysis has been completed collaboratively with North East & Yorkshire ICBs to assist with the development of a plan detailing all training available internally, externally and via multi-agency partners, production of health commander portfolios and implementation will be completed in the next 6 months. An exercise for all Strategic and Tactical commanders will take place on 19th December 2023. The Director of System Resilience is attending the MAGIC course in November 2023. 	Partially Compliant	development of a plan detailing all training available internally, externally and via multi agency partners, production of health commander portfolios and implementation w be completed in the next 6 months
DD5	EPRR Training	Access to training materials	Those identified in the organisations EPRR TNA(s) have access to appropriate courses to maintain their own competency and skills.	For example: On-call or nominated command staff have access to Principles of Health Command training. Access to UKHSA e-learning and courses offered	A training needs analysis has been completed collaboratively with North East & Yorkshire ICBs to assist with the development of a plan detailing all training available internally, externally and via multi-agency partners and currently reviewing with other NEY ICBs the best method of joint delivery of training packages	Partially Compliant	development of a plan detailing all training available internally, externally and via multi agency partners, production of health commander portfolios and implementation w be completed in the next 6 months
DD6	EPRR Training	Training Data	The organisation monitors, and can provide data on, the number of staff (including health commanders) trained in any given role against the minimum number required as defined in the TNA.	Organisational training records	Training records are in place for those who have attended the Principles of Health Command courses, this will be expanded once training identified in the TNA has commenced.	Partially Compliant	Training records to be monitored and maintained with a view to look at electronic options such as ESR
DD7	EPRR Training	Monitoring	Compliance with the organisations TNA is monitored and managed through established EPRR governance arrangements at board level and multi-agency level.	Board level reports highlighting training compliance within EPRR TNAs. LHRP reports highlighting training compliance within EPRR TNAs.	An action will be taken, to ensure that training needs and compliance are monitored on a ongoing basis via the EPRRSG and LHRP	Non Compliant	An action will be taken, to ensure that trainin needs and compliance are monitored on a ongoing basis via the EPRRSG and LHRP
DD8	EPRR Training	JESIP doctrine	The Organisations delivered / commissioned EPRR training is aligned to JESIP joint doctrine	Download the Joint Doctrine - JESIP Website	JESIP principles will be applied to all areas of commissioned EPRR training and included in documentation	Partially Compliant	JESIP principles to be applied to all areas o commissioned EPRR training and included i documentation as part of training and exercising plan
DD9	EPRR Training	Continuous Improvement p		Organisation has a process in place whereby relevant training material is reviewed following an update to EPRR plans and arrangements. Continuous improvement trackers.	All training subscribes to the organisational learning cycle to ensure organisational development is achieved	Partially Compliant	development of a plan detailing all training available internally, externally and via multi- agency partners, production of health commander portfolios and implementation w be completed in the next 6 months
DD10	EPRR Training	Evaluation	The organisations delivered / commissioned EPRR training is subject to evaluation and lessons identified from participants so as to improve future training delivery.	Evaluation data and evidence of changes based on the feedback. Feedback from peer assessment.	Quarterly forums will take place with on-call commanders to evaluate and identify lessons from participants. This will be part of the robust EPRR work programme within the ICB	Partially Compliant	Organisational learning will be part of the EPRR learning process and this will be facilitated via the quarterly forums with on-ca commanders.

Lead Timescale Comments

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