

| ICP Goal<br>Strategic aim                                           | Risk<br>ref    | Date identified     | Directorate<br>Level of control<br>Committee  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Initial |   |       | Controls                                                                                                                                                                                                                                                                                                | Gaps in control                                                                                                                                                                                               | Internal assurances                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | External assurances                                                                                                     | Gaps in<br>assurance                                     | Residual |   |       | Reviews                                                                                                                              | Risk Appetite |
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|                                                                     |                |                     |                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | C       | L | Score |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                         |                                                          | C        | L | Score |                                                                                                                                      |               |
| Goal 2 Fairer<br>Health Outcomes<br>For All                         | PLACE<br>/0042 | 18/11/2022          | NENC<br>Commissioni<br>ng                     | Autism diagnosis and post diagnosis support<br>Diagnosis and/or post diagnosis support for autistic<br>people is not provided timely or effectively. Caused<br>by insufficient resource or poor service provision.<br>Results in patient detriment, reputation damage or<br>regulator enforcement. Excepted increase in waiting<br>list for assessment as service is not carrying out any<br>assessments due to COVID 19 risk. This includes<br>adults and children and young people. | 4       | 4 | 16    | Children and young people community<br>based pre and post diagnostic support<br>model in place. Additional investment<br>into assessments and post diagnostic<br>support through the VCS. Children and<br>young people wait time for diagnosis of<br>ASD are increasing due to increasing<br>referrals. | There is no clear<br>model or service<br>design in place<br>at this point that<br>would address<br>the demands on<br>the waiting list<br>and the<br>requirement for<br>pre and post<br>diagnostic<br>support. | Waiting list monitoring and<br>request to executive<br>committee for financial<br>support<br>Funding agreed for a waiting<br>list initiative with healios<br>Currently working with CNTW<br>and VCS to re-design a<br>workable model for<br>Newcastle place.<br>Community based support in<br>place for children, young<br>people and families pre and<br>post diagnosis.<br>Work undertaken to identify<br>what good post diagnostic<br>support would look like for<br>people in Newcastle. Paper<br>drafted for SLT proposing<br>commissioning of post<br>diagnostic support for adults. | For the CNTW<br>regional footprint, task<br>and finish groups<br>have been set up<br>covering ADHD and<br>autism.       | None identified.                                         | 4        | 3 | 12    | (5). Quarterly                                                                                                                       | Outside       |
| 1, Improve<br>Outcomes In<br>Population<br>Health And<br>Healthcare |                | Jacqueline<br>Myers | NENC ICB<br>Partial Control                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |         |   |       |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                         |                                                          |          |   |       | 17/06/2026                                                                                                                           |               |
|                                                                     |                | Angela Kumar        | 3. NENC<br>Quality And<br>Safety<br>Committee |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |         |   |       | Post diagnostic support across the life<br>course                                                                                                                                                                                                                                                       | Gaps in current<br>service                                                                                                                                                                                    | Newcastle place based<br>meetings with CNTW and<br>VCS have begun to develop<br>a pre and post diagnostic<br>support offer.<br><br>Work undertaken to identify<br>what good post diagnostic<br>support would look like for<br>people in Newcastle. Paper<br>drafted for SLT proposing<br>commissioning of post<br>diagnostic support for adults.                                                                                                                                                                                                                                           | The regional ICS has<br>set up ADHD and<br>autism task and finish<br>groups.                                            |                                                          |          |   |       | Responsible Chief<br>Officer updated to<br>Jacqueline Myers<br>due to this now<br>sitting within the<br>Commissioning<br>directorate |               |
|                                                                     |                |                     |                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |         |   |       | Contract in place for service provision<br>for 0 to 5 year olds                                                                                                                                                                                                                                         | Service is<br>currently being<br>performed by<br>paediatricians<br>who are not<br>commissioned<br>for the service<br>and there is a<br>large waiting list.                                                    | NUTH have developed a<br>business case for the ICB to<br>consider for service provision<br>for 0-5 year olds. Meetings<br>taking place with NUTH to<br>develop a plan to address<br>pre and post diagnostic<br>support and diagnostic<br>process.                                                                                                                                                                                                                                                                                                                                          | Contract negation<br>required between<br>CNTW, NUTH and<br>QE to determine<br>service provision for 0<br>to 5 year olds | Business case<br>being<br>developed to<br>address issues |          |   |       |                                                                                                                                      |               |