

North East and North Cumbria Integrated Care Board

**Minutes of the Extraordinary meeting held on 22 June 2023
at 14:00, Joseph Swan, Pemberton House / Microsoft Teams**

Present: Professor Sir Liam Donaldson, Chair
Samantha Allen, Chief Executive
Dr Hannah Bows, Independent Non-Executive Member
Ken Bremner, Foundation Trust Partner Member
Levi Buckley, Executive Area Director (North and North Cumbria)
David Chandler, Executive Director of Finance
Professor Eileen Kaner, Independent Non-Executive Member
Annie Laverty, Executive Director of Improvement and Experience
Dr Saira Malik, Primary Medical Services Partner Member
Catherine McEvoy-Carr, Local Authority Partner Member
Jacqueline Myers, Executive Chief of Strategy and Operations
Dr Rajesh Nadkarni, Foundation Trust Partner Member
Dr Neil O'Brien, Executive Medical Director
David Purdue, Executive Chief Nurse
Claire Riley, Executive Director of Corporate Governance,
Communications and Involvement
Jon Rush, Independent Non-Executive Member
Dr Mike Smith, Primary Medical Services Partner Member
David Stout, Independent Non-Executive Member
Aejaz Zahid, Executive Director of Innovation

In Attendance: Richard Henderson, Director of Corporate Finance
Toni Taylor, Governance Officer (minutes)

B/2023/20 Welcome and Introductions

The Chair welcomed members to the extraordinary meeting of North East and North Cumbria Integrated Care Board.

The Chair confirmed the meeting to be quorate.

B/2023/21 Apologies for Absence

Apologies were received from David Gallagher, Executive Area Director (Central & Tees Valley), Professor Graham Evans, Executive Chief Digital and Information Officer, Tom Hall, Local Authority Partner Member, Deborah Cornell, Director of Corporate Governance and Board Secretary, David Thompson, Healthwatch.

B/2023/22 Declarations of Interest

Members had submitted their declarations prior to the meeting which had been made available in the public domain.

There were no declarations raised.

B/2023/23 CCG Annual Reports and Accounts 2022/23

The Executive Director for Corporate Governance, Communications and Involvement and the Executive Director of Finance presented the annual reports and accounts for three of the former CCG's covering the three-month period to 30 June 2022:

- County Durham CCG
- Tees Valley CCG
- North Cumbria CCG

The annual reports and accounts for all eight former CCGs had been prepared and are currently being audited by three audit firms (Ernst & Young, Grant Thornton, Mazars).

The reports had been prepared in accordance with the guidance issued by NHS England and the information included had been prepared by the relevant subject matter experts.

The annual reports and accounts for County Durham CCG, Tees Valley CCG and North Cumbria CCG, were presented to the Board for approval to allow Mazars, as auditors of the ICB, to gain access to their audit files before giving an opinion on the ICB annual report and accounts.

A summary of changes to the annual reports and accounts since circulation to the Board in readiness for the extraordinary meeting were noted as follows:

County Durham CCG:

Annual Report

- Formatting of contents page to highlight sections and sub-sections, removed duplicate reference to audit report
- Page 17 - slight amendment to narrative on national staff survey
- Page 114 - amendment to narrative following change in disclosure guidance for All pensions related benefits.

Annual Accounts

- Page 145 - Related parties, amendment to headers and values due to change to accruals basis (still subject to review, updated WP shared)

Tees Valley CCG:

Annual Report

- Formatting of contents page to highlight sections and sub-sections, remove duplicate reference to audit report
- Page 40 - removed paragraph referring to the 'annual audit plan' not relevant
- Page 46 - performance updated following refreshed information
- Page 86 - updated paragraph for disclosure of information to auditors
- Page 111 - VFM narrative updated
- Page 123 - amendment to narrative following change in disclosure guidance for All pensions related benefits
- Page 124 - disclosure amended to -4.24% average % change
- Page 124 - disclosure includes 'increase' in prior year % for clarity to reader
- Page 129 - number of employees updated and staff turnover % following HR review.

Annual Accounts

- Page 145 - Related parties, amendment to headers and values due to change to accruals basis.

North Cumbria CCG

Annual Report

- Page 84 - added comparator table re % change in remuneration of highest paid Director
- Page 83 - added extra note on pensions table re McCloud judgement.

The annual report and accounts for the remaining five CCGs, along with the ICB annual report and accounts will be presented to Board on 27 June 2023 for approval.

RESOLVED:

The Board **REVIEWED** and **APPROVED** the annual reports and accounts for the three former CCGs - County Durham CCG, Tees Valley CCG and North Cumbria CCG, for the three-month period to 30 June 2022.

The Board **AGREED** delegated authority for the ICB Chief Executive and Executive Director of Finance to agree any final amendments to the annual report and accounts with the ICB Audit Committee Chair.

B/2023/24 External Audit

The Executive Director of Finance presented the external audit completion reports for the audit of three of the former CCG accounts for the three-month period to 30 June 2022; County Durham CCG, Tees Valley CCG and North Cumbria CCG.

Given the reduced materiality for the three-month period (effectively a quarter of usual materiality levels) there is an increased risk of material misstatements being identified.

The majority of audit work had been completed and remaining outstanding areas are being progressed as priority.

RESOLVED:

The Board **NOTED** the status of each respective audit and considered the findings within the reports. The Board **NOTED** that certain areas of audit work remain in progress and final reports will be provided as soon as available.

B/2023/25 Internal Audit

The Head of Internal Audit, AuditOne, presented the report which detailed the final Head of Internal Audit Opinion for the ICB predecessor CCGs; County Durham, Tees Valley and North Cumbria covering the period 1 April – 30 June 2022.

The final opinion for each CCG provided an overall assurance level of Substantial Assurance.

RESOLVED:

The Board **RECEIVED** the opinions for information.

B/2023/26 Any other business

There were no other items of business.

The meeting closed at 14:10